

# Toward an Effective Risk-Conscious and Collaborative Vehicular Collision Avoidance System

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**Abstract**—In this paper, we introduce a cooperative collision-avoidance (CCA) scheme for intelligent transport systems. Unlike contemporary strategies, the envisioned scheme avoids flooding the considered vehicular network with high volumes of emergency messages upon accidental events. We present a cluster-based organization of the target vehicles. The cluster is based upon several criteria, which define the movement of the vehicles, namely, the directional bearing and relative velocity of each vehicle, as well as the intervehicular distance. We also design a risk-aware medium-access control (MAC) protocol to increase the responsiveness of the proposed CCA scheme. According to the order of each vehicle in its corresponding cluster, an emergency level is associated with the vehicle that signifies the risk of encountering a potential emergency scenario. To swiftly circulate the emergency notifications to collocated vehicles to mitigate the risk of chain collisions, the medium-access delay of each vehicle is set as a function of its emergency level. Due to its twofold contributions, i.e., the cluster-based and risk-conscious approaches, our adopted strategy is referred to as the cluster-based risk-aware CCA (C-RACCA) scheme. The performance of the C-RACCA system is verified through mathematical analyses and computer simulations, whose results clearly verify its effectiveness in mitigating collision risks of the vehicles arising from accidental hazards.

**Index Terms**—Cooperative collision avoidance (CCA), intervehicle communication (IVC), vehicular ad-hoc network (VANET).

## I. INTRODUCTION

ALONG with the ongoing advances in dedicated short-range communication (DSRC) and wireless technologies, intervehicular communication (IVC) and road-vehicle communication (RVC) have become possible, giving birth to a new network-type called vehicular ad-hoc network (VANET). The key role that VANETs can play in the realization of intelligent transport systems has attracted the attention of major car manufacturers (e.g., Toyota, BMW, and Daimler-Chrysler). A number of important projects have been subsequently launched. Crash Avoidance Metrics Partnership (CAMP), Chauffeur in Europe Union, CarTALK2000, FleetNet, and DEMO 2000 by the Japan Automobile Research Institute (JSK) are a few notable examples.

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VANETs can be used for a plethora of applications, ranging from comfort and infotainment applications to onboard active safety applications. The latter are the most attractive and promising ones. Such applications assist drivers in avoiding collisions. They coordinate among vehicles at critical points such as intersections and highway entries.<sup>1</sup> Via an intelligent dissemination of road information (e.g., real-time traffic congestion, high-speed tolling, or surface condition) to vehicles in the vicinity of the subjected sites, collisions among vehicles can be prevented, and on-road vehicular safety can be accordingly enhanced.

To facilitate safety applications in VANETs, intraplatoon cooperative collision-avoidance (CCA) techniques have significantly evolved recently. With CCA systems, the number of car accidents and the associated damage can be significantly reduced. The prime reason for deploying CCA systems in VANETs is the substantially long reaction time (i.e., 0.75–1.5 s [2]) of any human driver to apply the brake following an emergency scenario. The potential damage inflicted by such a long reaction time of an individual driver is, indeed, remarkably high in case of a close formation of vehicles, which travel at high speeds. Instead of having drivers to traditionally react to the brake lights of vehicles immediately ahead, CCA systems enable vehicles to promptly react in emergency situations via a fast dissemination of warning messages to the vehicles in the platoon. However, the effectiveness of a given CCA system depends not only on the reliability of the circulated warning messages but on the specific nature of the emergency situation at hand as well. To this end, the underlying medium-access control (MAC) protocols of the concerned VANET need to make sure that the medium-access delay associated with each vehicle, under an emergency event, remains as short as possible. Driven by this need, we envision an effective CCA scheme, which takes into account a risk-aware MAC protocol, which we have specifically tailored for VANET environments. Furthermore, we envision clusters of vehicles based on their movement traits, including directional headings and relative velocities, and on the intervehicular distances as well. In a given cluster, each vehicle is assigned an emergency level, which reflects the risk associated with that particular vehicle to fall into an accidental hazard, e.g., collision with the other cars in the platoon. This cluster-based approach also permits us to set the medium-access delay of an individual vehicle as a function of its emergency level. By so doing, the envisioned strategy attempts to provide the drivers of the vehicles with warning messages pertaining to the emergency scenario with

<sup>1</sup>An abridged version of this work has appeared in [1].

89 the shortest delivery latencies possible. This feature should  
 90 prevent chain collisions or reduce the associated damage. Our  
 91 adopted strategy is referred to as the cluster-based risk-aware  
 92 CCA (C-RACCA) scheme due to its twofold contributions,  
 93 namely, the formation of clusters and the adoption of the risk-  
 94 conscious medium-access protocol.

95 The remainder of this paper is organized as follows. Rel-  
 96 evant research on MAC protocols in VANET environments  
 97 is presented in Section II. The operations of the envisioned  
 98 C-RACCA system comprising its clustering mechanism and the  
 99 risk-aware MAC protocol are delineated in detail in Section III.  
 100 The performance of the C-RACCA system is evaluated in  
 101 Section IV, which justifies the simulation setup and provides an  
 102 in-depth analysis of the simulation results. Concluding remarks  
 103 follow in Section V.

104

## II. RELATED WORK

105 VANETs are well characterized for their rapidly and dynam-  
 106 ically changing topologies due to the fast motion of vehicles.  
 107 Unlike traditional mobile ad hoc networks (MANETs), the  
 108 nodes' mobility in VANETs is constrained by predefined roads  
 109 and restricted speed limits. Additionally, nodes in VANETs can  
 110 be equipped with devices with potentially longer transmission  
 111 ranges, rechargeable source of energy, and extensive on-board  
 112 storage capacities. Processing power and storage efficiency are,  
 113 thus, not the issue in VANETs that they are in MANETs.

114 The work by Little and Agarwal [3] serves as an inspiring one  
 115 for utilizing clusters of vehicles in VANETs without the use of  
 116 fixed infrastructures (e.g., access points, satellites, and so forth).  
 117 The hypothesis of this work states that the vehicles, which travel  
 118 along the same directed pathway, can form interconnected  
 119 blocks of vehicles. Thus, the notion of cluster of vehicles is  
 120 adopted whereby a header and a trailer identify a particular  
 121 cluster that is on the move. Little and Agarwal used multihop  
 122 routing in these blocks or clusters of vehicles to obtain an opti-  
 123 mum propagation rate to disseminate information pertaining to  
 124 traffic and road conditions. For this purpose, they characterized  
 125 the bounds of information propagation under different traffic  
 126 patterns. In addition, by combining delay-tolerant networking  
 127 and MANET techniques, they also implemented the safety  
 128 information dissemination algorithm as a routing protocol.

129 To inform all the vehicles in a risk area (along a highway)  
 130 regarding an emergency scenario (e.g., an accident or an im-  
 131 pediment on the road) via alarm broadcasts, a novel com-  
 132 munications technique called the intervehicles geocast (IVG)  
 133 protocol was proposed [4]. IVG considers a vehicle to be in  
 134 the risk area if the accident/obstacle is in front of that vehicle.  
 135 Based on the temporal and dynamic attributes of the locations,  
 136 speeds (i.e., highway), and driving directions of the vehicles in  
 137 the risk zone, IVG defines multicast groups of these vehicles.  
 138 Since IVG does not maintain neighboring cars' list at each  
 139 vehicle, the overall signaling overhead is reduced, which saves  
 140 precious bandwidth to disseminate the actual warning messages  
 141 according to a defer time algorithm. In addition, relays are  
 142 deployed dynamically in a distributed manner (in each driving  
 143 direction) that rebroadcasts the warning messages to ensure  
 144 their delivery to the vehicles in the risk area.

The broadcast storm problem, in which there is a high level of  
 contention and collisions at the MAC level due to an excessive  
 number of broadcast packets, is presented in the VANET con-  
 text in [5]. The serious nature of the broadcast storm problem  
 is illustrated in a case study of four-lane highway scenario.  
 This work proposes three lightweight broadcast techniques to  
 mitigate the broadcast storms by reducing redundant broadcasts  
 and packet loss ratio on a well-connected vehicular network.  
 This work, however, does not consider addressing the broadcast  
 storm issue at the MAC layer (i.e., the real source of the  
 problem), which may be able to mitigate the problem more  
 effectively.

To prevent accidents that may occur due to late detection of  
 distant/roadway obstacles, Gallagher *et al.* [6] emphasized the  
 need for longer range vehicular safety systems that are capable  
 of real-time emergency detection. To this end, they investigated  
 the applicability of DSRC resources to improve the efficiency  
 and reliability of vehicle safety communications. This work  
 specifically partitions crucial safety messages and the nonsafety  
 ones. The former is termed as "safety-of-life" messages, which  
 are assigned the highest priority and transmitted on a dedi-  
 cated safety channel. The underlying MAC and physical (PHY)  
 layers, guided by the higher layers, enable the awareness and  
 separation of safety and nonsafety messages.

In the survey conducted by Hartenstein and Laberteaux [7],  
 the parameters that may influence the probability of packet  
 reception in VANETs have been pointed out, including ve-  
 hicular traffic density, radio channel conditions, transmission  
 power, transmission rate, contention window sizes, and the  
 prioritization of packets. This work also mentions that for  
 packets prioritization in particular, the enhanced distributed  
 channel access (EDCA), which is also part of 802.11-2007  
 specifications, can be used. Four distinct access categories, each  
 with its own channel access queue, are provided in this scheme,  
 whereby the interframe space and the contention window size  
 can be tailored to the specific needs of the target VANET.  
 Indeed, Torrent-Moreno [8] demonstrates that, in contrast with  
 the simple carrier sense multiple access (CSMA) scheme, the  
 channel access time and probability of packets reception im-  
 prove to an extent under EDCA scheme, even in the case of a  
 saturated channel.

Sichititu and Kihl [9] survey IVC systems and focus on  
 public safety applications toward avoiding accidents and loss  
 of lives of the passengers. Their study points out that safety ap-  
 plications are inherently delay sensitive, e.g., vehicular warning  
 systems to avoid side crashes of cars and trains at crossroads,  
 deploying safety equipments such as inflating air bags and  
 tightening seat belts, and so forth. The system penetration of  
 such applications is, however, subject to determining the zone  
 of relevance as accurately as possible. For instance, when an  
 accident in the right lane of a highway occurs, it is considered  
 in the covered studies to only affect vehicles approaching the  
 accident from behind. The survey also describes the available  
 communication technologies, focusing on their PHY and MAC  
 layers, that may facilitate vehicular communications to dissem-  
 inate emergency messages. The studied protocols that are con-  
 sidered to be suited for intervehicle emergency communications  
 systems include IEEE 802.11 and its DSRC standard, Bluetooth

203 (standardized within IEEE 802.15.1), and cellular models such  
 204 as the global system for mobile communications/general packet  
 205 radio service and third-generation (3G) systems like the uni-  
 206 versal mobile telecommunications system (UMTS), the UMTS  
 207 terrestrial radio access network, and so on.

208 Toor *et al.* [10] suggest that three difficulties arise in the  
 209 PHY/MAC layer in VANETs. The first problem involves shar-  
 210 ing the radio medium to effect robust transmission among  
 211 the vehicles. The second problem consists of traffic jams or  
 212 postaccidental scenarios whereby the target VANET exhibits  
 213 a rather high density of vehicular nodes. The third and most  
 214 significant problem identified in this work is the support of  
 215 adequate emergency applications to guarantee quality of service  
 216 (QoS) in wireless environments. The study elucidates that there  
 217 exist two main approaches for sharing the medium that may  
 218 be used for vehicular communications, namely 1) the CSMA-  
 219 like random scheme and 2) the time-division multiple-access  
 220 (TDMA)-like controlled scheme. A prime example of the  
 221 former approach is IEEE 802.11, which is stated to be the  
 222 most dominant MAC protocol for developing safety applica-  
 223 tions for vehicular networks. As examples of the latter, the  
 224 study refers to a number of other technologies derived from  
 225 3G telecommunications systems based upon variations of the  
 226 pure ALOHA protocol [11] such as the slotted ALOHA [12]  
 227 and reliable reservation ALOHA (RR-ALOHA) [13] access  
 228 schemes. Recent works such as [14] have also considered QoS  
 229 issues in VANETs.

230 As stated earlier, a class of unique applications has been  
 231 devised for VANETs. For each application, different tech-  
 232 niques have been proposed. From the observation that routing  
 233 protocols originally designed for MANET networks may be  
 234 suitable only for delay-tolerant content-delivery applications  
 235 (e.g., in-vehicle Internet) [15], the work in [17] proposed a  
 236 set of context-aware broadcast-oriented forwarding protocols  
 237 for delay-sensitive safety applications in VANETs (e.g., CCA  
 238 systems). The packet-forwarding operation can be selective  
 239 and based on the geographical locations and the moving di-  
 240 rections of the source and the destination vehicles and the  
 241 packet's information content. Furthermore, mobility-oriented  
 242 schemes such as "Mobility-centric approach for Data Dissem-  
 243 ination in Vehicular networks" (MDDV) [23], which attempts  
 244 to address the data delivery problem in a partitioned and  
 245 highly mobile VANET topology, integrates the following three  
 246 data-forwarding techniques: 1) the opportunistic-based scheme;  
 247 2) the trajectory-based scheme; and 3) the geographical for-  
 248 warding scheme. The former refers to the fact that vehicle  
 249 movements create the opportunity to pass messages and de-  
 250 termine which vehicle to transmit/buffer/drop a message and  
 251 when. The trajectory forwarding implies that the information  
 252 is being propagated from the source to the destination. The  
 253 geographical forwarding, on the other hand, means that the  
 254 message is conveyed geographically closer to the destination  
 255 along the source-to-destination trajectory. Localized algorithms  
 256 specifically designed for vehicles are developed to exploit  
 257 these data-forwarding schemes. By allowing multiple vehi-  
 258 cles to actively propagate a given message, MDDV improves  
 259 message-delivery reliability. While the aforementioned packet-  
 260 forwarding protocols can reduce the number of signaling mes-

sages in a VANET, ensuring prompt delivery of critical warning  
 261 messages is also crucial for CCA systems. For this purpose, 262  
 there is a need to develop adequate MAC protocols. 263

Many of the MAC protocols that have evolved over the years 264  
 are, however, not applicable to VANET environments. Among 265  
 the contemporary MAC protocols, the IEEE 802.11 MAC spec- 266  
 ification is considered to be the leading choice among VANET 267  
 designers as a means to provide safety applications [25]. The 268  
 MAC protocol of IEEE 802.11 consists of a number of so- 269  
 phisticated mechanisms that rely on soft handshaking involving 270  
 a number of signaling messages (e.g., request-to-send and 271  
 clear-to-send messages) exchanged between the sender and the 272  
 receiver. These mechanisms include the following: 1) CSMA 273  
 with collision avoidance (CSMA/CA); 2) multiple access with 274  
 collision avoidance (MACA); and 3) MACA for wireless with 275  
 distributed coordinated function mode. More tailored MAC 276  
 protocols for VANET environments are also evolving, as shown 277  
 in the study conducted by Adachi *et al.* [16]. In addition, 278  
 the following two techniques have evolved into safety-critical 279  
 application domains such as CCA: 1) data prioritization [17], 280  
 [26] and 2) vehicle prioritization. We focus on the latter in 281  
 this paper whereby the emergency level associated with each 282  
 vehicle in the considered VANET is taken into account to 283  
 prioritize the vehicle. Intuitively, vehicles with high emergency 284  
 levels should be always granted prompt access to the medium. 285

Provisioning security for protecting the vehicular positions in 286  
 a VANET is also emerging as an active area of research. For ex- 287  
 ample, Yan *et al.* [28] presented a novel approach that employs 288  
 an on-board radar at each vehicle to detect neighboring vehicles 289  
 and to confirm their announced coordinates. This notion of 290  
 local security (i.e., specific to individual vehicles) is extended to 291  
 achieve global security by using the following two techniques: 292  
 1) a preset position-based groups to form a communication 293  
 network and 2) a dynamic challenging scheme to confirm the 294  
 coordinate information sent by remote vehicles. Although the 295  
 scope of our work in this paper does not cover these security 296  
 aspects, we feel the importance to incorporate such safeguards 297  
 to securely disseminate safety information/warning messages 298  
 in VANETs in the future. 299

### III. CLUSTER-BASED RISK-AWARE COOPERATIVE 300 COLLISION-AVOIDANCE SYSTEM 301

In this section, we initially provide a brief overview of 302  
 the functionality of the traditional CCA system proposed by 303  
 Biswas *et al.* [17] and point out its shortcomings. We then 304  
 propose our C-RACCA system, which consists of adequate 305  
 solutions to address these issues, namely, a dynamic clustering 306  
 procedure to formulate clusters of vehicles, followed by a 307  
 uniquely designed risk-aware MAC protocol. 308

#### A. Shortcomings of the Traditional CCA Systems 309

In traditional CCA systems [17], upon an emergency situ- 310  
 ation, a vehicle in the considered platoon dispatches warning 311  
 messages to all other vehicles behind it. A recipient takes 312  
 into account the direction of the warning message arrival with 313  
 respect to its directional bearing and decides whether to pass 314

315 the message to other vehicles or not. Indeed, the message  
 316 will be ignored if it comes from behind. To ensure a platoon-  
 317 wide coverage, the message is transmitted over multiple hops.  
 318 However, this approach leads to the following two problems:  
 319 1) generation of a large number of messages, which literally  
 320 flood the VANET, and 2) generation of redundant messages  
 321 (originated from different vehicles) pertaining to the same  
 322 emergency event. Consequently, message collisions are more  
 323 likely to occur in the access medium with the increasing number  
 324 of vehicles in the platoon. In addition, this naive approach  
 325 of relaying the emergency message contributes to cumulative  
 326 communication latencies, which, in turn, lead to a substantially  
 327 high delay in delivering the warning message from the platoon  
 328 front to the vehicles located at the rear of the platoon formation.  
 329 To make matters even worse, in the case of multiple failed  
 330 message retransmissions owing to excessive MAC collisions,  
 331 this message-delivery latency increases further. To overcome  
 332 these shortcomings of the existing CCA systems, we offer a  
 333 novel approach that dynamically forms clusters of the vehicles  
 334 in a platoon.

### 335 B. Dynamic Clustering of Vehicles

336 Prior to a detailed description of the envisioned clustering  
 337 mechanism, it is essential to point out a number of assumptions  
 338 regarding the considered VANET environment, as listed in the  
 339 following.

- 340 1) To accurately estimate the current geographical location,  
 341 each vehicle in the platoon consists of global positioning  
 342 systems (GPSs) or similar tracking modules. It should  
 343 be noted that the knowledge pertaining to the real-  
 344 time coordinates of the vehicular nodes is an assump-  
 345 tion made by most protocols and applications. Indeed,  
 346 this is a reasonable enough assumption pointed out by  
 347 Boukerche *et al.* [18] because the GPS receivers can  
 348 easily be deployed on vehicles. However, as VANETs  
 349 are evolving into more critical areas and becoming more  
 350 reliant on localization systems, there may be certain  
 351 undesired problems in the availability of GPS in certain  
 352 scenarios (e.g., when the vehicles enter zones where GPS  
 353 signals may not be detected, such as inside tunnels, under-  
 354 ground parking, and so forth). Indeed, there exist several  
 355 localization techniques, such as dead reckoning [19], cel-  
 356 lular localization [21], and image/video localization [22],  
 357 that may be used in VANETs so that this GPS limitation  
 358 may be overcome. In addition, GEOCAST [20], which is  
 359 one of our earlier developed protocols, may be used so  
 360 that it is still possible to support some vehicles, which  
 361 have lost GPS signals, or do not have GPS on board, to  
 362 learn from the other vehicles and position themselves.
- 363 2) To facilitate communications, two distinct wireless chan-  
 364 nels are considered to exchange signaling messages to  
 365 formulate vehicles' clusters and to issue/forward warning  
 366 messages, respectively.
- 367 3) Each vehicle is assumed to be capable of estimating its  
 368 relative velocity with respect to neighboring vehicles. In  
 369 addition, it is also considered to be able to compute, via  
 370 adequately deployed sensors, intervehicular distances.

- 4) When a vehicle receives a warning message, it can esti- 371  
 mate the direction of the message arrival, i.e., whether the 372  
 received warning originated from a vehicle from the front 373  
 or the rear. 374
- 5) Each vehicle is considered to have knowledge on its 375  
 maximum wireless transmission range, which is denoted 376  
 by  $T_r$ . A vehicle constantly uses this parameter to update 377  
 its current transmission range  $R$  in the following manner: 378

$$R = T_r \cdot (1 - \epsilon), \quad 0 < \epsilon \leq 1 \quad (1)$$

where  $\epsilon$  refers to the wireless channel fading conditions 379  
 at the current position. Equation (1) is used for simple 380  
 estimation of the practically possible transmission range 381  
 from the given surrounding conditions that affect the 382  
 maximum transmission range of the vehicle. To compute 383  
 this, a simple parameter  $\epsilon$  is used, which reflects the 384  
 surrounding conditions. If the vehicle is currently moving 385  
 in the downtown, then its transmission range will be 386  
 lower than the maximum possible one. Because, there 387  
 will be many obstacles (e.g., high-rise buildings, indus- 388  
 tries, and other installations), which will interfere with 389  
 the vehicle's wireless signal. To reflect this situation,  $\epsilon$  in 390  
 (1) is set to a high value in a downtown scenario. On the 391  
 other hand, when a car is moving in the suburbs, there 392  
 are fewer obstacles affecting the vehicle's transmitted 393  
 signals. Therefore, in such a scenario, low values of  $\epsilon$  are 394  
 used to illustrate that the vehicle may use a transmission 395  
 range that is closer to the maximum possible one. GPS or 396  
 other positioning systems (e.g., Galileo) are used to ob- 397  
 tain the terrain information so that the appropriate values 398  
 of  $\epsilon$  in a given location can be appropriately estimated. 399

Additionally, we consider, for clustering purposes, a platoon 400  
 of vehicles, which travel along the same road toward the same 401  
 direction. Consistent with previous work in this domain [15], 402  
 the envisioned grouping of vehicles is, thus, based upon their 403  
 movement directions. Directional-antenna-based MAC proto- 404  
 cols [27] may be utilized to group the vehicles more accurately, 405  
 whereby the transmission range of vehicles is split into  $M$  406  
 transmission angles of equal degrees ( $360/M$ ). By assigning 407  
 each transmission angle to a unique vehicle group,  $M$  groups 408  
 can thus be formulated. 409

Similar in spirit with the assumptions in [15] and [27], our 410  
 approach considers, in forming a cluster, only the vehicles that 411  
 belong to the same group in terms of moving on the same road 412  
 toward the same direction. Fig. 1 portrays an example of three 413  
 such clusters. As depicted in this figure, a vehicle may act as a 414  
 special node, i.e., as a cluster head (CH) or a subcluster head 415  
 (SCH), or may merely drive as an ordinary vehicle (OV). In 416  
 case of forming a CH, the vehicles are voluntarily required to 417  
 consistently advertise for the cluster while maintaining and up- 418  
 dating their respective cluster tables. On the other hand, the first 419  
 SCH node is selected as the last vehicle that is reachable by the 420  
 CH. Indeed, the SCH node may be used to define a subsequent 421  
 SCH entity (i.e., the last vehicle reachable from this SCH node), 422  
 and so forth. SCH nodes are in charge of relaying packets (e.g., 423  
 emergency warning messages) from either a CH or from SCHs 424  
 in front to other vehicles within the same cluster that lie outside 425

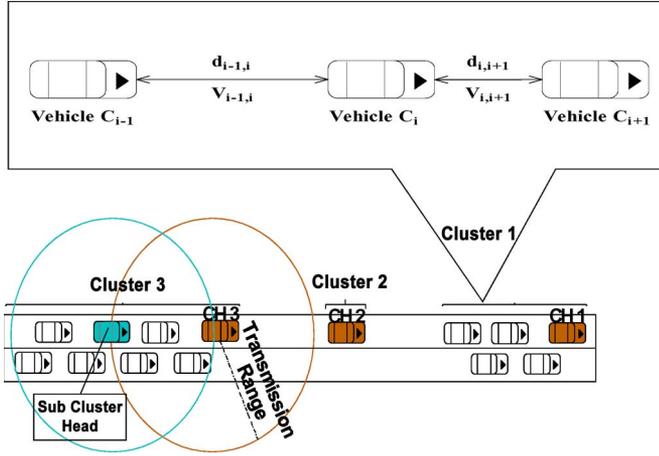


Fig. 1. Example of three clusters.

426 the CH's (or the front SCH's) transmission range. In addition, a  
 427 SCH also aggregates information from OVs within its reach and  
 428 relays them to the CHs/SCHs in front. It should be noted that  
 429 it is a rare case to have a cluster containing a large number of  
 430 SCHs. In such case, the cluster size will be significantly large,  
 431 and vehicles will be more likely moving at very low speeds.  
 432 Thus, chain collisions will not happen in such case. Finally,  
 433 OVs comprise the ordinary members in the cluster that perform  
 434 no specific task.

435 As demonstrated in the example in Fig. 1,  $C_i$  refers to the  
 436 identification (ID) of vehicle  $i$ . For simplicity, we denote  $C_{i-1}$   
 437 and  $C_{i+1}$  as the vehicles ahead of and immediately behind  $C_i$ ,  
 438 respectively. The transmission range of the former (provided  
 439 that it exists) reaches  $C_i$ . On the other hand, the latter is  
 440 reachable by  $C_i$ . The distance between a pair of vehicles  $C_j$   
 441 and  $C_k$  is denoted by  $d_{j,k}$ .  $V_j$  and  $V_{j,k}$  refer to vehicle  $C_j$ 's  
 442 actual velocity and the relative velocity with respect to vehicle  
 443  $C_k$ , respectively. Therefore, the magnitude of  $V_{j,k}$  is assumed  
 444 to be the same as that of  $V_{k,j}$ . Additional notations, which are  
 445 used in the clustering operation, are listed as follows:

- 446 1)  $\tau_j^a$ : time required for a vehicle  $C_j$  to reach vehicle  $C_{j-1}$   
 447 immediately ahead of it (i.e.,  $\tau_j^a = d_{j-1,j}/V_{j-1,j}$ );
- 448 2)  $\tau_j^b$ : time required for a vehicle  $C_j$  to be reached by vehicle  
 449  $C_{j+1}$  right behind it (i.e.,  $\tau_j^b = d_{j,j+1}/V_{j,j+1}$ );
- 450 3)  $\phi_j$ : set of CHs or SCHs in front of vehicle  $C_j$ ; this set  
 451 also belongs to  $C_j$ 's group;
- 452 4)  $\phi_j^{CH}$ : the closest CH or SCH ( $\in \phi_j$ ) in front of  
 453 vehicle  $C_j$ ;
- 454 5)  $\psi_j$ : set of CHs or SCHs behind vehicle  $C_j$ ; this set also  
 455 belongs to  $C_j$ 's group;
- 456 6)  $\psi_j^{CH}$ : the closest CH or SCH ( $\in \psi_j$ ) behind vehicle  $C_j$ ;
- 457 7)  $a_e$  and  $a_r$ : emergency deceleration and regular deceleration,  
 458 respectively, which indicate the occurrence of an  
 459 emergency event to trigger the transmission of critical  
 460 warning messages;
- 461 8)  $\delta$ : the average reaction time of individual drivers ( $0.75 \leq$   
 462  $\delta \leq 1.5$  s).

463 For each vehicle  $C_i$  and its immediately following vehicle  
 464  $C_{i+1}$ , we consider that no collision will occur between these  
 465 two vehicles, and therefore, they are safe, provided that their

distance  $d_{i,i+1}$  satisfies the following condition for  $\Gamma_{i,i+1}$  (i.e., 466  
 $\overline{\Gamma_{i,i+1}}$  denotes the negation of the condition): 467

$$\Gamma_{i,i+1} \Leftrightarrow d_{i,i+1} > \text{Min} \left( d_{max}, \alpha \cdot \left( V_{i+1} \cdot \delta + \frac{V_{i+1}^2}{2a_r} - \frac{V_i^2}{2a_e} \right) \right) \quad (2)$$

where  $\alpha$  represents a tolerance factor. In addition,  $d_{max}$  denotes 468  
 a safety distance in which if two vehicles are distant, no 469  
 collision will occur between the two vehicles, regardless of 470  
 the vehicles' velocities (e.g., in case of a maximum velocity 471  
 $V_{max} = 180$  km/h,  $d_{max} = V_{max} \cdot 1.5$  s = 75 m). It should be 472  
 noted that the direction of the vehicles is not included in (2) 473  
 since we consider the vehicles to be traveling along the same 474  
 direction in the same lane. 475

Using the above notations, for any vehicle  $C_i$ , we have the 476  
 following lemma: 477

$$\exists C_{i-1} \Leftrightarrow \phi_i \neq \emptyset \quad (3)$$

$$\exists C_{i+1} \Leftrightarrow \psi_i \neq \emptyset. \quad (4)$$

The proof of the lemma is trivial. 478

Three specific scenarios pertaining to a vehicle may exist in 479  
 the envisioned clustering operation. A vehicle may be in one of 480  
 the following three states. 481

- 1) It starts its engine and gets on a road. 482
- 2) It decides to travel in a different direction. Consequently, 483  
 it leaves its old group  $G_o$  and joins a new group of 484  
 vehicles, which is denoted by  $G_n$ . 485
- 3) It continues to travel on the same road without changing 486  
 its direction. However, it increases or decreases its travel- 487  
 ing speed. 488

In the remainder of this subsection, we describe the clus- 489  
 tering mechanism in detail by focusing on each of the above 490  
 scenarios. 491

1) *Joining a Group for the First Time*: A vehicle  $C_i$ , after it 492  
 gets on a road, initially broadcasts a CH solicitation message 493  
 to the neighboring vehicles, which are assumed to belong 494  
 to group  $G_n$ . The CH solicitation message queries the other 495  
 vehicles regarding the CH of  $G_n$ . Meanwhile,  $C_i$  also initiates 496  
 a timer  $\theta$ . The following two cases exist: 1)  $C_i$  receives no 497  
 response, or 2)  $C_i$  receives at least one affirmative response 498  
 to its initial query prior to expiration of  $\theta$ . In the former case, 499  
 where ( $\phi_i = \psi_i = \emptyset$ ),  $C_i$  decides to assume the role of CH 500  
 in  $G_n$  and starts constructing its own cluster. In the latter 501  
 case,  $C_i$  needs to take into consideration the responses from 502  
 other CH(s). At first,  $C_i$  verifies if any CH ahead of it has 503  
 also transmitted a CH advertisement message. Otherwise, if 504  
 ( $\phi_i = \emptyset$ ), from the fact that  $\psi_i \neq \emptyset$ ,  $C_i$  checks whether it 505  
 maintains long enough distance ( $d_{i,i+1}$ ) with  $C_{i+1}$ , which im- 506  
 mediately follows it from behind. This verification is required 507  
 to ascertain the safety condition ( $\Gamma_{i,i+1}$ ) described earlier. 508  
 If ( $\Gamma_{i,i+1}$ ) holds,  $C_i$  constructs its own cluster and declares 509  
 itself as the CH of this newly formed cluster. Otherwise (i.e., 510  
 if  $\overline{\Gamma_{i,i+1}}$ ),  $C_i$  takes over, from  $\psi_i^{CH}$ , the CH behind it by 511  
 designating itself as the new CH (i.e.,  $C_i = \phi_{i+1}^{CH}$ ). 512

On the other hand, if  $C_i$  obtains a CH advertisement message 513  
 from at least one CH ahead of it (i.e.,  $\phi_i \neq \emptyset$ ), it verifies whether 514

TABLE I  
ALL POSSIBLE CASES FOR A VEHICLE  $C_i$  JOINING FOR THE FIRST TIME A GIVEN GROUP

$\phi_i = \emptyset$			$\phi_i \neq \emptyset$		
$\psi_i = \emptyset$	$\psi_i \neq \emptyset$		$\Gamma_{i,i-1}$		$\bar{\Gamma}_{i,i-1}$
Form own cluster	$\Gamma_{i,i+1}$	$\Gamma_{i,i+1}$	Form own cluster	$\Gamma_{i,i+1}$	Join $\phi_i^{CH}$
	Form own cluster	Take authority of $\psi_i^{CH}$		Form own cluster	

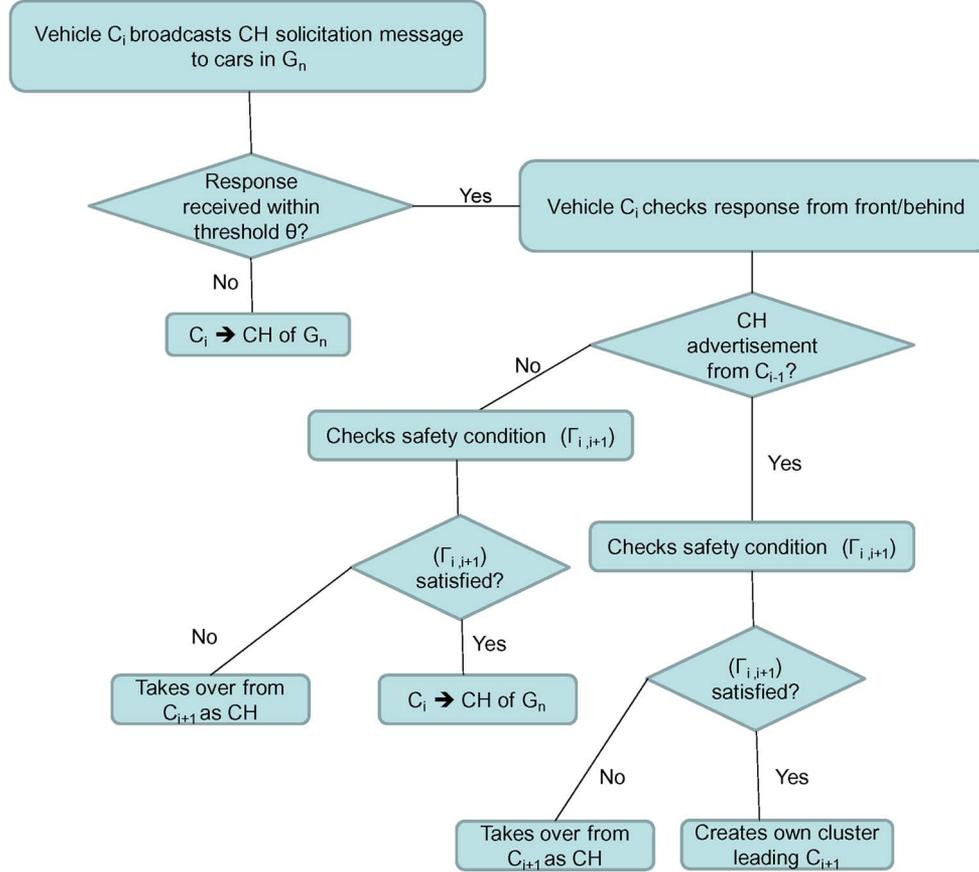


Fig. 2. Steps required for a vehicle to join a group for the first time.

515 the distance to the vehicle immediately ahead of it ( $C_{i-1}$ )  
 516 and belonging to the cluster CH is sufficiently large to avoid  
 517 collision with  $C_{i-1}$ .  $C_i$  constructs its own cluster by designating  
 518 itself as the CH, provided that 1) the condition  $\Gamma_{i,i-1}$  holds and  
 519 2) that no vehicle follows it from behind ( $\psi_i = \emptyset$ ). If ( $\psi_i \neq \emptyset$ ),  
 520 the vehicle will check its distance to the vehicle right behind it  
 521 and behave in a way similar to the case when ( $\phi_i = \emptyset, \psi_i \neq \emptyset$ ).  
 522 On the other hand, if the condition  $\bar{\Gamma}_{i,i-1}$  persists,  $C_i$  is required  
 523 to join the cluster formed by  $\phi_i^{CH}$ . Table I summarizes all the  
 524 aforementioned cases. The whole process of joining a group for  
 525 the first time is illustrated in Fig. 2.

526 A vehicle  $C_i$ , which desires to join a given cluster, issues a  
 527 self notification (SN) message that contains the vehicle's ID,  
 528 current location, and transmission range to the concerned CH.  
 529 Upon receiving the SN, the CH treats it as a solicitation request  
 530 from  $C_i$  to join the cluster. The CH then adds  $C_i$  into its cluster  
 531 table and informs the rest of the cluster members via an updated  
 532 cluster advertisement (CA) message, which contains the IDs  
 533 of all the involved entities including the cluster, the CH, the

SCH(s), and the OV(s). In the case that a new vehicle emerges as  
 534 a new CH in the considered cluster, the previous CH needs to  
 535 transfer the most recently updated cluster table to the new CH,  
 536 which, in turn, broadcasts an updated CA packet to the cluster  
 537 members to inform them regarding the changes. 538

2) *Departure From a Group and Joining a New One*: As  
 539 mentioned earlier, the second scenario consists of a moving  
 540 vehicle  $C_i$  that changes its direction, which results in its de-  
 541 parture from its old group  $G_o$  to a new group  $G_n$ .  $C_i$ , at first,  
 542 informs  $G_n$  about the departure event. Upon joining  $G_n$ ,  $C_i$   
 543 either forms its own cluster or joins a preexisting one following  
 544 the previously described steps in Section III-A1. 545 AQ1

The departure of  $C_i$  from  $G_o$  may yield three distinct cases,  
 546 namely, whether  $C_i$  was the CH, a SCH, or merely an OV in  
 547  $G_o$ . These three cases are depicted in Fig. 3 and are delineated  
 548 as follows. 549

- 1) *If  $C_i$  is an OV in  $G_o$* : In this case, departure operation of  
 550  $C_i$  from  $G_o$  is trivial since it only requires notifying either  
 551 the CH (denoted by  $CH_{G_o}$ ) directly or the corresponding  
 552

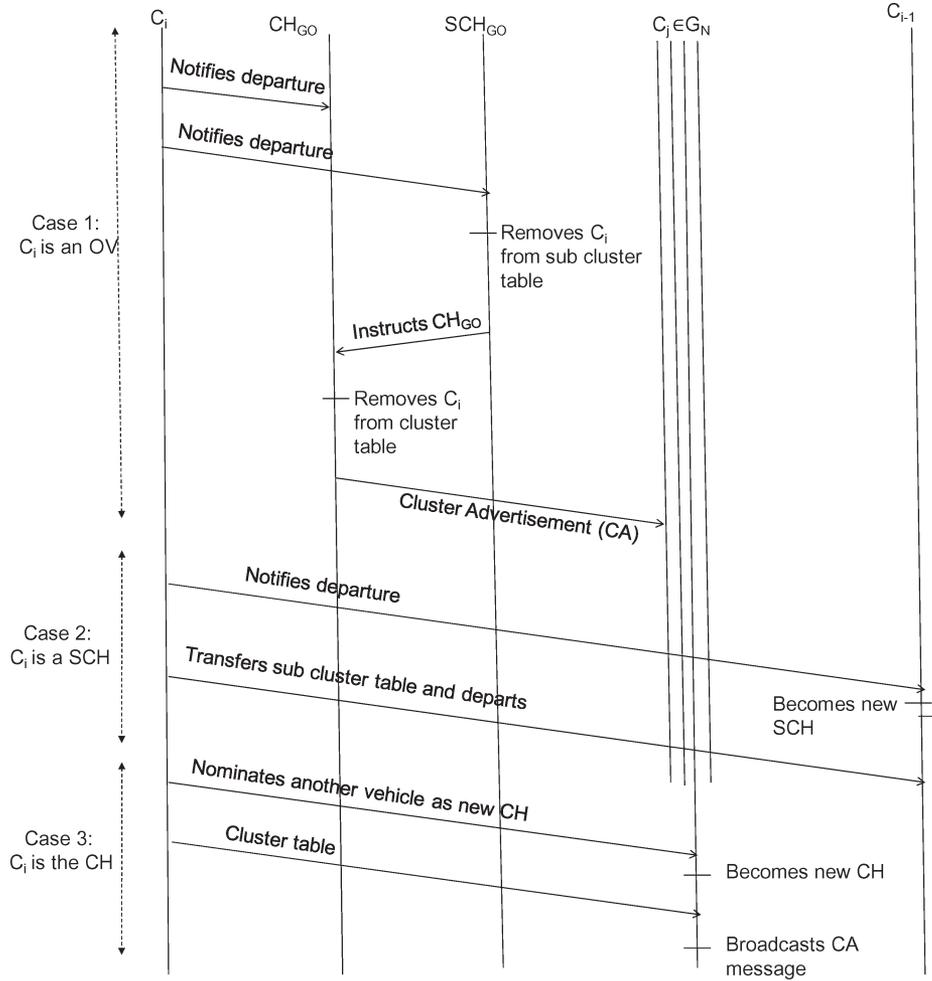


Fig. 3. Timeline diagram illustrating the departure event of a vehicle from a group.

553 SCH (i.e.,  $SCH_{G_o}$ ) similarly. In latter case,  $SCH_{G_o}$  first  
 554 removes  $C_i$  from its subcluster table and instructs  $CH_{G_o}$   
 555 about the event that prompts  $CH_{G_o}$ , in its own turn,  
 556 to delete  $C_i$ 's entry from its cluster table. Finally,  $CH_{G_o}$   
 557 issues an updated CA message to inform the rest of the  
 558 members that  $C_i$  is no longer with  $G_o$ .  
 559 2) If  $C_i$  is a SCH in  $G_o$ :  $C_i$ , in this scenario, will assign  
 560  $C_{i-1}$  in  $G_o$  to assume the responsibility of the new SCH.  
 561 In addition,  $C_i$  also transfers the subcluster table to  $C_{i-1}$   
 562 before departing  $G_o$ .  
 563 3) If  $C_i$  is a CH in  $G_o$ : This scenario requires  $C_i$  to assign  
 564 the role of the new CH to another cluster member, which  
 565 it deems most appropriate. In addition,  $C_i$  also transfers  
 566 the cluster table to the new CH prior to its departure from  
 567  $G_o$ . The new CH notifies the rest of the cluster members  
 568 regarding the change via an updated CA message.

569 Fig. 4 depicts a scenario whereby a vehicle A, with a trans-  
 570 mission range  $T_A$  and speeding at a velocity  $V_A$ , turns onto a  
 571 new street inclined by an angle  $\alpha$ , while vehicle C, which is  
 572 immediately ahead of it, and vehicle B, which is immediately  
 573 behind it, continue moving straight along the same road at ve-  
 574 locities  $V_C$  and  $V_B$ , respectively. Fig. 5 demonstrates the results  
 575 obtained from numerical analysis that there is largely suffi-  
 576 cient time for vehicle A to communicate with both vehicles C

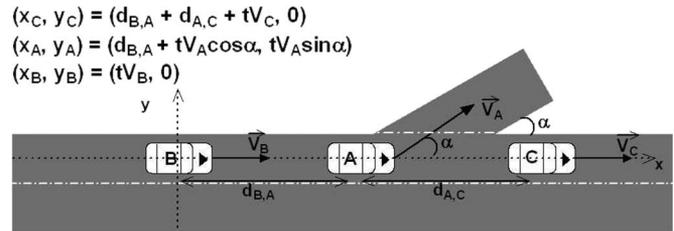


Fig. 4. Scenario showing a vehicle A turning onto a new street inclined by an angle  $\alpha$ , while vehicles B and C continue moving straight on the same road.

and B in the case of the following two different scenarios: 1) a 577  
 highway scenario where vehicles B and C speed at 120 km/h, 578  
 and vehicle A reduces its speed to 60 km/h upon turning onto 579  
 the new road and 2) an urban scenario where vehicles B and 580  
 C move at 60 km/h, and vehicle A turns at a speed equal to 581  
 30 km/h. The transmission range of vehicle A is set to 300 and 582  
 150 m in the highway and urban scenarios, respectively. Fig. 6 583  
 (derived from analytical computations) shows the time required 584  
 to join a cluster in an urban and a highway scenario for different 585  
 transmission ranges of vehicles. The figure clearly indicates that 586  
 the time required for a vehicle to join a cluster is short in both 587  
 scenarios and can be easily accommodated by the connectivity 588  
 time shown in Fig. 5. 589

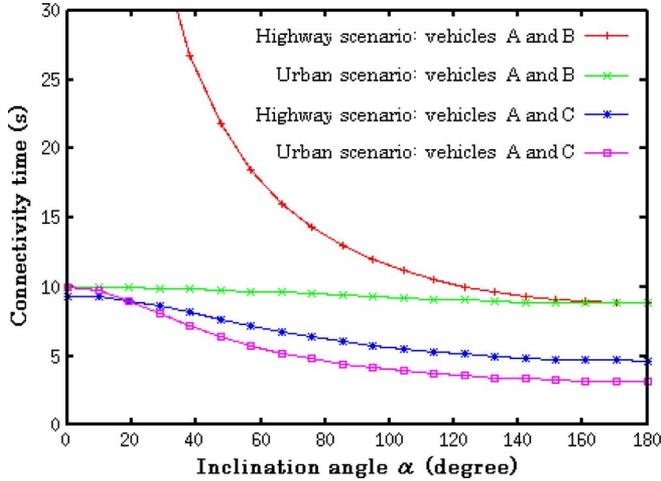


Fig. 5. Connectivity time for a vehicle, turning onto a new street inclined by an angle  $\alpha$ , with vehicles right behind it and immediately ahead of it ( $d_{B,A} = d_{A,C} = 15$  m).

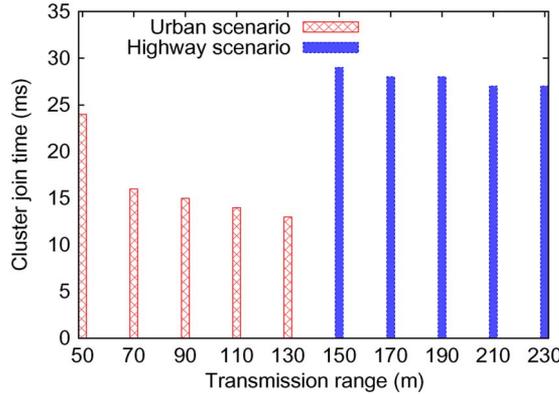


Fig. 6. Time required to join a cluster in an urban and a highway scenario, respectively.

590 3) *Intercluster Interactions Within a Particular Group*: In  
591 a given group, the envisioned approach permits flexibility in  
592 forming and interacting among clusters belonging to the same  
593 group. For instance, a cluster may be split into two parts under  
594 certain conditions. The reverse may also be possible, whereby  
595 two clusters may merge into a single new cluster.

596 A particular cluster may be divided into two different clus-  
597 ters, provided that each of the two adjacent vehicles, which are  
598 denoted by  $C_i$  and  $C_{i+1}$  (both the vehicles are members of the  
599 same cluster) continues to travel at a relative speed  $V_{i,i+1}$  until  
600 the intervehicular space  $d_{i,i+1}$  satisfies the condition  $\Gamma_{i,i+1}$ .  
601 When this condition persists,  $C_{i+1}$  becomes the CH in one  
602 part of the former clusters containing the vehicles following  
603  $C_{i+1}$  from behind. On the other hand,  $C_i$  joins another part  
604 of the previous cluster (consisting in vehicles  $C_i$  and beyond)  
605 as an OV.

606 Two existing clusters may be allowed to merge and evolve as  
607 a single one, provided that the distance between the CH of one  
608 of the two clusters (denoted by  $C_l$ ) and the last vehicle  $C_k$  in  
609 the other cluster becomes so short that the condition  $\bar{\Gamma}_{k,l}$  arises  
610 and holds. In this new cluster,  $C_l$  will handle the cluster table  
611 of the former cluster (i.e., to which  $C_k$  previously belonged).

$C_l$  then broadcasts an updated CA message to all the members  
612 to inform them regarding this change. 613

614 Conducting the aforementioned dynamic clustering opera-  
615 tions, each group of vehicles moving along the same road and in  
616 the same direction will be organized into a number of clusters of  
617 different sizes and with independent cluster heads (see Fig. 1).  
618 The distance between two adjacent clusters is always long  
619 enough to avoid collisions between vehicles from both clus-  
620 ters. On the other hand, the intervehicle distance between two  
621 adjacent vehicles in a given cluster is always shorter than the  
622 “safety distance.” Therefore, if a vehicle in a cluster detects an  
623 emergency event and applies brakes, collisions among vehicles  
624 are likely to happen if drivers do not react promptly. As stated  
625 earlier, the exchange of signaling messages for the formation of  
626 clusters is performed on a channel different than the one used to  
627 transmit warning or emergency messages. MAC collisions due  
628 to the transmission of such signals, thus, should not impact the  
629 responsiveness of our proposed C-RACCA system. 629

### C. Risk-Aware MAC Protocol

630

631 In this section, we describe the envisioned risk-aware MAC  
632 protocol. To lay the basis of this work, we consider studying  
633 the original MAC protocol in the IEEE 802.11 specifications,  
634 owing to its enormous popularity among VANET designers and  
635 researchers. For simplicity, the case of a single cluster is consid-  
636 ered, whereby the vehicles are indexed based upon their order  
637 within the cluster with respect to their movement directions.  
638 In other words, without any loss of generality,  $C_1$  refers to the  
639 cluster head,  $C_2$  refers to the car immediately behind it, and so  
640 forth. In addition, we consider highway platoons for studying  
641 the envisaged risk-aware MAC protocol due to the fact that the  
642 likelihood of chain vehicle collisions is substantially high in a  
643 highway. 643

644 The 802.11 standard currently defines a single MAC that  
645 interacts with the following three PHY layers: 1) frequency-  
646 hopping spread spectrum with a slot time  $\xi = 50 \mu\text{s}$ ; 2) direct  
647 sequence spread spectrum with a slot time equal to  $\xi = 20 \mu\text{s}$ ;  
648 and 3) infrared with a slot time equal to  $\xi = 8 \mu\text{s}$ . The general  
649 concept behind the MAC protocol in IEEE 802.11 is that  
650 when a mobile node desires to transmit, it first listens to the  
651 desired channel. If the channel is idle (no active transmitters),  
652 the node is allowed to transmit. If the medium is busy, the  
653 node will defer its transmission to a later time and then to a  
654 further contention period. To resolve contention issues among  
655 different stations that are willing to access the same medium,  
656 an exponential back-off mechanism is executed in the IEEE  
657 802.11 MAC protocol prior to the calculation of the contention  
658 period. This, however, significantly increases the data delivery  
659 latency. Consequently, in the case of delay-sensitive safety-  
660 critical CCA applications, the effectiveness of the original  
661 802.11 MAC protocol decreases substantially. Indeed, high  
662 latency in the dissemination of a warning message will lead to  
663 scenarios where some vehicles will not have enough time to  
664 react, and vehicle collisions become inevitable. To cope with  
665 this shortcoming, we envision that the IEEE 802.11 back-off  
666 procedure should be substituted by a more suitable mechanism,  
667 which takes into account, in the contention window of a given

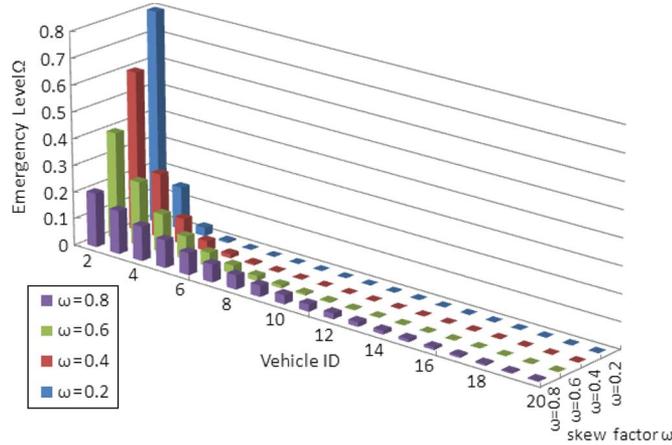


Fig. 7. Emergency level distribution of 20 vehicles for different values of the skew factor.

668 vehicle, its probability to encounter an emergency scenario. To  
669 this end, an emergency level for every vehicle (denoted by  $C_i$   
670 without any loss of generality) in a particular cluster is defined  
671 according to the distribution in

$$\Omega_i = \frac{(1 - \omega)\omega^i}{\omega(1 - \omega^S)}, \quad 1 \leq i \leq S \quad (5)$$

672 where  $S$  and  $\omega$  refer to the cluster size and skew factor,  
673 respectively. Fig. 7 demonstrates that setting  $\omega$  to larger values  
674 yields a uniform distribution of the emergency level of vehicles,  
675 while assigning  $\omega$  values close to zero results in a highly skewed  
676 distribution.

677 In our envisioned risk-aware MAC protocol, the contention  
678 window of a given vehicle  $C_i$  is computed based on the follow-  
679 ing equation (rather than employing the traditional exponential  
680 back-off procedure):

$$CW_i = \sum_{j=1}^k (1 - \Omega_i)^j \cdot cw \cdot \xi \quad (6)$$

681 where  $k$ ,  $\xi$ , and  $cw$  denote the number of transmission attempts,  
682 the slot time of the used PHY layer, and the window size,  
683 respectively. The reason behind computing the vehicles' con-  
684 tention windows in this manner is to ascertain that the vehicles  
685 with high probability of meeting an emergency situation may  
686 enjoy short contention windows. Indeed, in case of multiple  
687 failures to transmit the warning message ( $k \gg 1$ ), the con-  
688 tention window  $CW_i$  will converge to a value equal to  $\xi/\Omega_i$ .  
689 This should ensure smaller latency (after each failed attempt)  
690 in the delivery of warning messages for vehicles with high  
691 emergency levels  $\Omega_i$ . Vehicles behind the car that detected the  
692 event will then be able to avoid collisions.

693 Equation (6) ensures the system consistency to some extent  
694 while adjusting the contention window of all the vehicles  
695 belonging to a given cluster. However, there is a further need to  
696 ascertain that the contention window is short enough so that the  
697 maximum number of imminent collisions among vehicles may  
698 be circumvented. To achieve this, the maximum delay, within  
699 which a particular vehicle needs to be informed, is computed.  
700 In the following, we consider the example of Fig. 1 and assume

that upon an emergency situation, vehicles  $C_i$  and  $C_{i+1}$  slow  
701 down their velocities at rates denoted by  $a_e$  and  $a_r$ , respectively. 702  
703 The next task is to calculate the maximum latency  $\delta_i$  since the  
704 detection of the emergency event, before which,  $C_i$  may be able  
705 to notify  $C_{i+1}$  (i.e., the vehicle following  $C_i$  from behind) of the  
706 event to avoid collision.

Vehicle  $C_i$  will be moving for a time period  $\Delta_i = (V_i/a_e)$   
707 before it eventually stops. The distances traveled by vehicles  
708  $C_i$  and  $C_{i+1}$  over  $\Delta_i$  are denoted by  $l_i$  and  $l_{i+1}$ , respectively.  
709 Equation (7) is used to compute  $l_i$ , and (8), shown below, is  
710 employed to derive  $l_{i+1}$  as follows: 711

$$l_i = \frac{V_i^2}{2 \cdot a_e} \quad (7)$$

$$l_{i+1} = V_{i+1} \cdot \frac{V_i}{a_e} - \frac{a_r}{2} \left( \frac{V_i}{a_e} - \delta_i \right)^2. \quad (8)$$

To avoid collision between  $C_i$  and  $C_{i+1}$ , the following in-  
712 equality should be satisfied by taking into consideration  $l_i$  and  
713  $l_{i+1}$ , i.e., 714

$$l_{i+1} > l_i + d_{i+1,i} + L_v \quad (9)$$

where  $L_v$  is the average vehicle length. This condition can  
715 be satisfied if and only if  $C_{i+1}$  is notified at maximum  $\delta_i^{\max}$   
716 time after the event-detection time (i.e., the time when  $C_i$  starts  
717 decelerating), i.e., 718

$$\delta_i^{\max} = \text{Max} \left( \frac{V_i}{a_e} - \sqrt{\frac{2}{a_r} \cdot \left( \frac{V_i}{a_e} (V_{i+1} - \frac{V_i}{2}) - d_{i+1,i} - L_v \right)}, 0 \right). \quad (10)$$

The collision between  $C_i$  and  $C_{i+1}$ , however, becomes un-  
719 avoidable when ( $\delta_i^{\max} = 0$ ), which compels  $C_i$  to continue  
720 broadcasting warning messages to all vehicles within its trans-  
721 mission range. This provision is required to mitigate further  
722 damage inflicted on the platoon by preventing vehicles that are  
723 far behind from colliding with one another. Consequently,  $CW_i$   
724 (i.e., the contention window for vehicle  $C_i$ ) is set as follows: 725

$$CW_i = \begin{cases} \sum_{j=0}^k (1 - \Omega_i)^j \cdot cw \cdot \xi, & \text{if } \delta_i^{\max} = 0 \\ \text{Min} \left( \sum_{j=0}^k (1 - \Omega_i)^j \cdot cw \cdot \xi, \delta_i^{\max} \right), & \text{otherwise.} \end{cases} \quad (11)$$

Unless otherwise specified, we set  $a_e$ ,  $a_r$ , and  $L_v$  to 8 m/s<sup>2</sup>,  
726 4.9 m/s<sup>2</sup>, and 4 m, respectively. It should be noted that the  
727 values of  $a_e$  and  $a_r$  can be used by the system as an indication  
728 for an emergency event (e.g.,  $a_e$  for cluster head,  $a_r$  or above for  
729 other cluster members) to trigger the transmission of warning  
730 messages. 731

On detecting an emergency event, a vehicle issues a warning  
732 message to every member of its cluster (including SCHs) that  
733 its transmission range currently covers. An SCH entity forwards  
734 this message to each of its subcluster members. It should be  
735 noted that a vehicle can safely discard messages originating  
736 from vehicles following it from the back. Otherwise (i.e., if the  
737 warning message arrives from the front), the recipient vehicle,  
738 at once, reacts to it based on the event type included in the  
739

740 warning message. If the recipient vehicle encounters redundant  
741 warning messages, it takes action based on the first one only  
742 and discards the rest of the duplicate copies.

#### 743 IV. PERFORMANCE EVALUATION

##### 744 A. Collision Model

745 Before delving into details of the considered collision model  
746 in our simulation, we list a number of important parameters. Let  
747  $S$  and  $L_v$  denote the size of the considered cluster (where the  
748 collisions are simulated) and the average vehicle length, respec-  
749 tively. As mentioned earlier, we are more keen on focusing on  
750 highway platoon scenarios, whereby the likelihood of collisions  
751 among the cluster members is much higher in contrast with ur-  
752 ban scenarios. In our simulated highway platoon environment,  
753 we consider the most frequent scenario, whereby the CH (i.e.,  
754 the vehicle in front of the platoon) identifies an emergency  
755 event. When the CH detects an emergency situation at time  $t_0$ ,  
756 it slows down at an emergency deceleration  $a_e$ . The rest of the  
757 vehicles are considered to slow down at a regular deceleration  
758  $a_r$ . For the sake of simplicity and without any loss of generality,  
759 we further assume that when a vehicle  $C_i$  collides with a vehicle  
760  $C_{i-1}$  ahead of it,  $C_i$  immediately stops. On the other hand,  
761  $C_{i-1}$  keeps on traveling without deceleration. Although this  
762 particular assumption does not conform to realistic scenarios,  
763 it does not change any of the rudimentary observations made so  
764 far on the envisioned C-RACCA framework.

765 Let  $\Delta t_i$  represent the latency since the detection of the  
766 emergency event until vehicle  $C_i$  stops or collides with its  
767 preceding vehicle  $C_{i-1}$ . The velocities of  $C_i$  at the time of  
768 the event detection and after  $\Delta t_i$  time are denoted by  $V_i^o$  and  
769  $V_i^s$ , respectively. The delay incurred in delivering the warning  
770 message to  $C_i$  is referred to as  $\delta_i$ . It is worth noting that all  
771 vehicles in the cluster (or subcluster) ought to experience sim-  
772 ilar  $\delta_i$ , provided that the broadcast of warning messages by the  
773 CH/SCHs and their deliveries at the recipients are successful.  
774 As previously evaluated in (7),  $l_i$  defines the distance traveled  
775 by  $C_i$  since the event detection time until the vehicle completely  
776 stops or collides with  $C_{i-1}$ . The following equations pertain to  
777 the CH, i.e.,  $C_1$ :

$$\Delta t_1 = \frac{V_1^o}{a_e} \quad (12)$$

$$l_1 = V_1^o \Delta t_1 - \frac{1}{2} a_e \cdot \Delta t_1^2 \quad (13)$$

$$V_1^s = 0. \quad (14)$$

778 For other vehicles, except for the considered CH (i.e.,  $C_i$ ,  
779  $1 < i \leq S$ ), the conditions for two adjacent vehicles  $C_i$  and  
780  $C_{i-1}$  not to collide can be obtained in terms of the following  
781 equations:

$$\Delta t_i = \frac{V_i^o}{a_r} + \delta_i \quad (15)$$

$$l_i = V_i^o \Delta t_i - \frac{1}{2} a_r \cdot (\Delta t_i - \delta_i)^2 \quad (16)$$

$$V_i^s = 0. \quad (17)$$

TABLE II  
SIMULATION PARAMETERS

Factor	Range of values
Propagation model	TwoRayGround
Cluster size, $S$	20
Vehicle speed, $V_i$	15 - 45 m/s
Inter-vehicle distance, $d_{i,i+1}$	10 - 30 m
Emergency deceleration, $a_e$	8 m/s <sup>2</sup>
Regular deceleration, $a_r$	4.9 m/s <sup>2</sup>
Driver's reaction time, $\delta$	0.75 - 1.5 s
Transmission range, $T_r$	150 m
Skew factor, $\omega$	0.8
Average vehicle length, $L_v$	4 m
Slot time, $\xi$	50 $\mu$ s
Tolerance factor, $\alpha$	3

On the other hand, in the case that  $C_i$  and  $C_{i-1}$  collide, the  
following two distinct cases may be envisaged.

Case 1)  $C_i$  collides while  $C_{i-1}$  is still moving. 784

Case 2)  $C_{i-1}$  stops, and then,  $C_i$  hits  $C_{i-1}$ . 785

The following inequality should hold in case 2): 786

$$l_{i-1} + d_{i,i-1} + L_v \leq l_i. \quad (18)$$

In that time,  $\Delta t_i$ ,  $l_i$ , and  $V_i^s$  will be computed as follows: 787

$$\Delta t_i = \Delta t_{i-1} \quad (19)$$

$$l_i = l_{i-1} + d_{i,i-1} + L_v \quad (20)$$

$$V_i^s = V_i^o - a_r \cdot (\Delta t_{i-1} - \delta_i). \quad (21)$$

For case 1, a time instant  $t_m$  should exist when 788

$$\begin{aligned} \exists t_m \quad & V_i^o(t_m - t_0) - \frac{1}{2} a_r \cdot (t_m - t_0 - \delta_i)^2 \\ & = V_{i-1}^o(t_m - t_0) - \frac{1}{2} \eta \cdot (t_m - t_0 - \delta_{i-1})^2 + L_v \end{aligned} \quad (22)$$

where ( $\eta = a_e$ ) in the case of  $i = 2$ , or ( $\eta = a_r$ ) for ( $3 \leq i \leq$   
 $S$ ). During that time, the values of  $\Delta t_i$ ,  $l_i$ , and  $V_i^s$  are computed  
as follows: 791

$$\Delta t_i = t_m - t_0 \quad (23)$$

$$l_i = V_i^o(t_m - t_0) - \frac{1}{2} a_e \cdot (t_m - t_0 - \delta_i)^2 \quad (24)$$

$$V_i^s = V_i^o - a_r \cdot (t_m - t_0 - \delta_i). \quad (25)$$

##### 792 B. Simulation Results

The simulations are conducted using the network simula-  
tor (NS-2) [29] based on the collision model delineated in  
Section IV-A. The simulation parameters are listed in Table II.  
The transmission ranges of the vehicles and the minimum  
intervehicular distance are set to 150 and 10 m, respectively.  
The reason behind these choices is to have at least one SCH in  
a simulated cluster. As comparison terms, we adopt 1) a CCA  
system, which is based upon the IEEE MAC protocol that uses  
the exponential back-off algorithm for calculating contention  
windows of the vehicles [17] and 2) the absence of a CCA  
system, whereby the traditional reaction of drivers is considered  
to be the key factor in avoiding collisions.

We simulate two scenarios. In the first scenario, all vehicles  
move at a steady speed, and the intervehicle distance is chosen

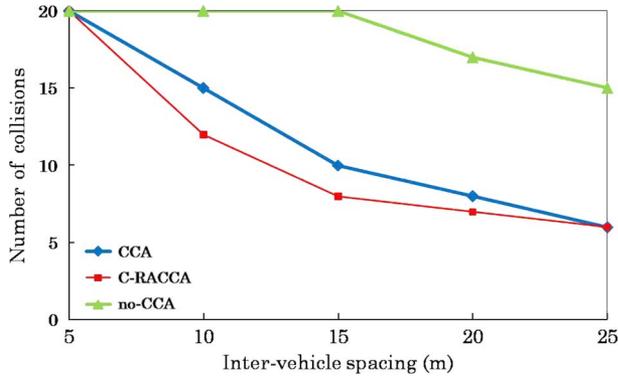


Fig. 8. Number of collided vehicles for different intervehicle distances (scenario 1, vehicle speed = 32 m/s).

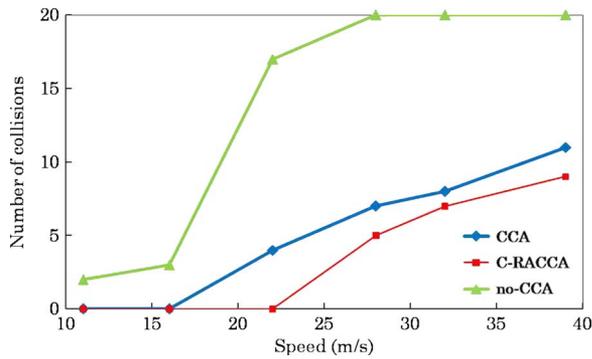


Fig. 9. Number of collided vehicles for different velocities of the cluster head (scenario 2).

807 from within the interval [10 m, 30 m]. On the other hand, in the 808 second scenario, the intervehicle distance is arbitrarily selected 809 from within the range [10 m, 30 m] for each pair of collocated 810 vehicles. Each vehicle travels at varying speeds. The CH, which 811 travels at the front of the cluster, moves at a speed that is 812 selected from an interval [22 m/s, 42 m/s]. The velocities of 813 the rest of the cars are carefully chosen not to cause collisions 814 among them. An emergency situation is simulated by having 815 the CH collide with a fixed object that compels the CH to slow 816 down rapidly. Consequently, a number of warning messages are 817 broadcast. The simulation results that we provide here are an 818 average of multiple simulation runs.

819 The number of collisions for various intervehicle distances 820 in the case of the proposed C-RACCA, CCA, and no-CCA 821 systems are plotted in Fig. 8. It can be deduced from this 822 figure that the number of collisions decreases as the intervehicle 823 distance increases significantly. The results demonstrate that the 824 C-RACCA scheme helps save many vehicles from colliding 825 into others. Fig. 9 exhibits a similar performance in the case 826 of scenario 2. As shown in this figure, the reduced number of 827 vehicle collisions achieved by the C-RACCA approach, even 828 when the CH travels at a reasonably high speed, in contrast 829 with CCA and no-CCA systems, is attributable to its ability to 830 swiftly inform the cluster members regarding the emergency 831 situation. Fig. 10 sheds more light on this issue by indicating 832 the fact that vehicles experience significantly high delays in 833 delivering/receiving the warning messages in case of the tra- 834 ditional CCA system. It is worth stressing that these latencies

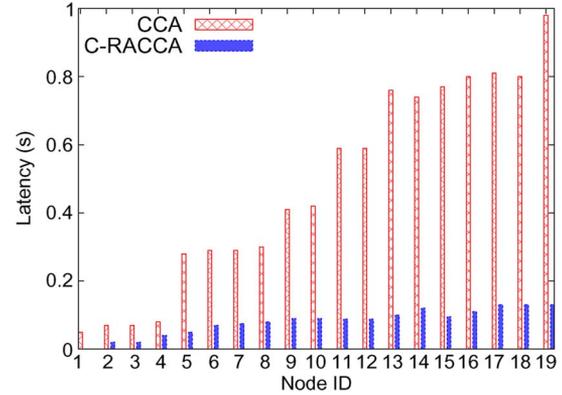


Fig. 10. Warning message delivery latency  $\delta_i$  for each vehicle  $C_i$  (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

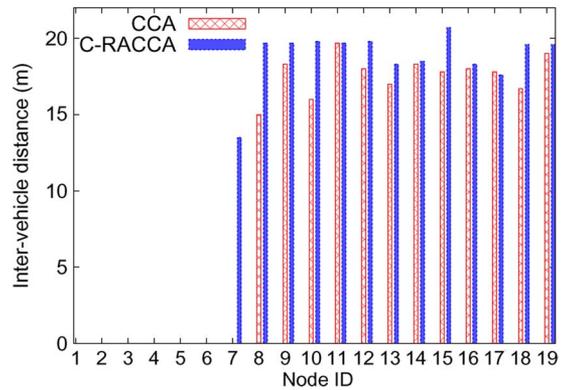


Fig. 11. Relative intervehicle distance  $d_{i,i-1}$  after stop (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

also include the delay in receiving the first warning message. 835 Indeed, in the proposed system, not all vehicles reforward the 836 warning message. In fact, only the CH and SCHs do so. Fig. 10 837 also demonstrates that in the case of the CCA system, the ten 838 last vehicles at the rear of the cluster experience a relatively 839 longer time to disseminate the warning messages. The reason 840 behind this is the occurrence of multiple MAC collisions owing 841 to the concurrent delivery of warning messages by the first 842 ten cars. On the contrary, the envisioned C-RACCA system 843 ascertains that only the vehicle which encountered the emer- 844 gency situation (e.g., the CH in our simulation scenarios) and/or 845 SCHs are in charge of delivering the warning messages. This 846 provision assists C-RACCA in avoiding message collisions. 847 Consequently, a large number of vehicles receive the warning 848 message in a relatively short latency. Indeed, this enables 849 the vehicles to respond to the emergency situation in a swift 850 manner. 851

The superior performance of the proposed C-RACCA 852 scheme is further evident from Figs. 11 and 12. Fig. 11 exhibits 853 that the relative intervehicle distances (after the vehicles have 854 stopped) are longer in the case of the proposed C-RACCA 855 scheme compared with the other naive approaches. It should be 856 noted that in most cases, a significantly long relative distance 857 between two adjacent vehicles  $C_i$  and  $C_{i+1}$  suggests that  $C_{i+1}$  858 responded rapidly to the emergency situation to achieve a 859 sufficiently long distance from the vehicle ahead, i.e.,  $C_i$ . This 860 distance is of high importance in our evaluation due to the 861

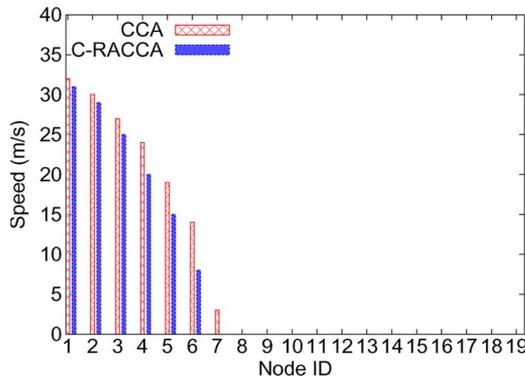


Fig. 12. Relative speed  $V_{i,i-1}$  at the time of collision. In the absence of collision,  $V_{i,i-1} = 0$  (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

862 fact that  $C_i$  may explode at the time of collision (e.g., due to  
863 fuel leakage and so forth). Additionally, Fig. 12 demonstrates  
864 another important feature of the C-RACCA system in terms of  
865 the smaller magnitude of the relative velocity of each vehicle at  
866 the time of collision. This mitigates the severity and impact of  
867 any collision.

868

## V. CONCLUSION

869 In this paper, we have proposed an effective collision-  
870 avoidance strategy for vehicular networks that we refer to as  
871 the C-RACCA system. As it can be inferred from its name,  
872 the C-RACCA forms clusters of vehicles that belong to the  
873 same group. A number of features pertaining to the movements  
874 of the vehicles are taken into account to construct effective  
875 clusters. We envisioned a set of mechanisms to enable vehicles  
876 to join or depart from a specific cluster. Indeed, the clustering  
877 mechanisms lead to various heterogeneous clusters, i.e., multi-  
878 ple clusters with different sizes, independent cluster heads, and  
879 different numbers of subcluster heads.

880 The other contribution of the C-RACCA system lies in  
881 the fact that it enhances existing MAC protocols to ascertain  
882 relatively short latencies in disseminating warning messages  
883 after an emergency situation is detected. For each vehicle, an  
884 emergency level is defined based upon its order in the cluster  
885 with respect to the moving direction of the cluster. In the  
886 C-RACCA system, the warning message latency is calculated  
887 in such a manner that it is inversely proportional to the emer-  
888 gency level of the considered vehicle. This reflects the probabil-  
889 ity of the vehicle to encounter an emergency event in the cluster.  
890 The second rationale lies in the fact that the latency estimation  
891 takes into consideration the velocities and intervehicle distances  
892 of adjacent vehicles and, thereby, manages to avoid colliding  
893 with each other.

894 Various simulations have been conducted in two unique sce-  
895 narios to verify and compare the performance of the proposed  
896 C-RACCA system with those of the naive CCA and no-CCA  
897 approaches. The simulation results clearly exhibit the applica-  
898 bility of the C-RACCA approach in VANET environments  
899 since it reduces both the number of collisions and the impacts  
900 of collisions when they inevitably occur.

901 Admittedly, our work has considered a distribution with a  
902 predetermined skew factor (i.e.,  $\omega$ ) to estimate the emergency

903 levels of the vehicles that are used to compute the warning mes-  
904 sage delivery latency. However, in the future, further investiga-  
905 tion regarding any possible correlation between the skew factor  
906 and the attributes of a specific cluster (in terms of its average  
907 intervehicle distance, average velocity, size, and so forth) is  
908 required. The relationship between the transmission ranges of  
909 the vehicles in a given cluster and the size of that cluster also  
910 needs further investigation. In addition, the impact of chan-  
911 nel conditions on the delivery of warning messages and their  
912 overall impact on the C-RACCA's performance also deserve  
913 further studies. Furthermore, the management of intercluster  
914 communications may also open up interesting research scopes.  
915 These form some of our future research into this particular area  
916 of research.

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AQ1 = Which section are you exactly referring to here?

AQ2 = What does CA stand for? Please write it out in full.

AQ3 = What does ANR stand for?

NOTE: "IEEE Commun. Magazine, Vol. 44, No. 1, pp. 535-547, Jan. 2006" was deleted in Ref. [23]. Please check if OK.

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# Toward an Effective Risk-Conscious and Collaborative Vehicular Collision Avoidance System

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**Abstract**—In this paper, we introduce a cooperative collision-avoidance (CCA) scheme for intelligent transport systems. Unlike contemporary strategies, the envisioned scheme avoids flooding the considered vehicular network with high volumes of emergency messages upon accidental events. We present a cluster-based organization of the target vehicles. The cluster is based upon several criteria, which define the movement of the vehicles, namely, the directional bearing and relative velocity of each vehicle, as well as the intervehicular distance. We also design a risk-aware medium-access control (MAC) protocol to increase the responsiveness of the proposed CCA scheme. According to the order of each vehicle in its corresponding cluster, an emergency level is associated with the vehicle that signifies the risk of encountering a potential emergency scenario. To swiftly circulate the emergency notifications to collocated vehicles to mitigate the risk of chain collisions, the medium-access delay of each vehicle is set as a function of its emergency level. Due to its twofold contributions, i.e., the cluster-based and risk-conscious approaches, our adopted strategy is referred to as the cluster-based risk-aware CCA (C-RACCA) scheme. The performance of the C-RACCA system is verified through mathematical analyses and computer simulations, whose results clearly verify its effectiveness in mitigating collision risks of the vehicles arising from accidental hazards.

**Index Terms**—Cooperative collision avoidance (CCA), intervehicle communication (IVC), vehicular ad-hoc network (VANET).

## I. INTRODUCTION

ALONG with the ongoing advances in dedicated short-range communication (DSRC) and wireless technologies, intervehicular communication (IVC) and road-vehicle communication (RVC) have become possible, giving birth to a new network-type called vehicular ad-hoc network (VANET). The key role that VANETs can play in the realization of intelligent transport systems has attracted the attention of major car manufacturers (e.g., Toyota, BMW, and Daimler-Chrysler). A number of important projects have been subsequently launched. Crash Avoidance Metrics Partnership (CAMP), Chauffeur in Europe Union, CarTALK2000, FleetNet, and DEMO 2000 by the Japan Automobile Research Institute (JSK) are a few notable examples.

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Color versions of one or more of the figures in this paper are available online at <http://ieeexplore.ieee.org>.

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VANETs can be used for a plethora of applications, ranging from comfort and infotainment applications to onboard active safety applications. The latter are the most attractive and promising ones. Such applications assist drivers in avoiding collisions. They coordinate among vehicles at critical points such as intersections and highway entries.<sup>1</sup> Via an intelligent dissemination of road information (e.g., real-time traffic congestion, high-speed tolling, or surface condition) to vehicles in the vicinity of the subjected sites, collisions among vehicles can be prevented, and on-road vehicular safety can be accordingly enhanced.

To facilitate safety applications in VANETs, intraplatoon cooperative collision-avoidance (CCA) techniques have significantly evolved recently. With CCA systems, the number of car accidents and the associated damage can be significantly reduced. The prime reason for deploying CCA systems in VANETs is the substantially long reaction time (i.e., 0.75–1.5 s [2]) of any human driver to apply the brake following an emergency scenario. The potential damage inflicted by such a long reaction time of an individual driver is, indeed, remarkably high in case of a close formation of vehicles, which travel at high speeds. Instead of having drivers to traditionally react to the brake lights of vehicles immediately ahead, CCA systems enable vehicles to promptly react in emergency situations via a fast dissemination of warning messages to the vehicles in the platoon. However, the effectiveness of a given CCA system depends not only on the reliability of the circulated warning messages but on the specific nature of the emergency situation at hand as well. To this end, the underlying medium-access control (MAC) protocols of the concerned VANET need to make sure that the medium-access delay associated with each vehicle, under an emergency event, remains as short as possible. Driven by this need, we envision an effective CCA scheme, which takes into account a risk-aware MAC protocol, which we have specifically tailored for VANET environments. Furthermore, we envision clusters of vehicles based on their movement traits, including directional headings and relative velocities, and on the intervehicular distances as well. In a given cluster, each vehicle is assigned an emergency level, which reflects the risk associated with that particular vehicle to fall into an accidental hazard, e.g., collision with the other cars in the platoon. This cluster-based approach also permits us to set the medium-access delay of an individual vehicle as a function of its emergency level. By so doing, the envisioned strategy attempts to provide the drivers of the vehicles with warning messages pertaining to the emergency scenario with

<sup>1</sup>An abridged version of this work has appeared in [1].

89 the shortest delivery latencies possible. This feature should  
 90 prevent chain collisions or reduce the associated damage. Our  
 91 adopted strategy is referred to as the cluster-based risk-aware  
 92 CCA (C-RACCA) scheme due to its twofold contributions,  
 93 namely, the formation of clusters and the adoption of the risk-  
 94 conscious medium-access protocol.

95 The remainder of this paper is organized as follows. Rel-  
 96 evant research on MAC protocols in VANET environments  
 97 is presented in Section II. The operations of the envisioned  
 98 C-RACCA system comprising its clustering mechanism and the  
 99 risk-aware MAC protocol are delineated in detail in Section III.  
 100 The performance of the C-RACCA system is evaluated in  
 101 Section IV, which justifies the simulation setup and provides an  
 102 in-depth analysis of the simulation results. Concluding remarks  
 103 follow in Section V.

104

## II. RELATED WORK

105 VANETs are well characterized for their rapidly and dynam-  
 106 ically changing topologies due to the fast motion of vehicles.  
 107 Unlike traditional mobile ad hoc networks (MANETs), the  
 108 nodes' mobility in VANETs is constrained by predefined roads  
 109 and restricted speed limits. Additionally, nodes in VANETs can  
 110 be equipped with devices with potentially longer transmission  
 111 ranges, rechargeable source of energy, and extensive on-board  
 112 storage capacities. Processing power and storage efficiency are,  
 113 thus, not the issue in VANETs that they are in MANETs.

114 The work by Little and Agarwal [3] serves as an inspiring one  
 115 for utilizing clusters of vehicles in VANETs without the use of  
 116 fixed infrastructures (e.g., access points, satellites, and so forth).  
 117 The hypothesis of this work states that the vehicles, which travel  
 118 along the same directed pathway, can form interconnected  
 119 blocks of vehicles. Thus, the notion of cluster of vehicles is  
 120 adopted whereby a header and a trailer identify a particular  
 121 cluster that is on the move. Little and Agarwal used multihop  
 122 routing in these blocks or clusters of vehicles to obtain an opti-  
 123 mum propagation rate to disseminate information pertaining to  
 124 traffic and road conditions. For this purpose, they characterized  
 125 the bounds of information propagation under different traffic  
 126 patterns. In addition, by combining delay-tolerant networking  
 127 and MANET techniques, they also implemented the safety  
 128 information dissemination algorithm as a routing protocol.

129 To inform all the vehicles in a risk area (along a highway)  
 130 regarding an emergency scenario (e.g., an accident or an im-  
 131 pediment on the road) via alarm broadcasts, a novel com-  
 132 munications technique called the intervehicles geocast (IVG)  
 133 protocol was proposed [4]. IVG considers a vehicle to be in  
 134 the risk area if the accident/obstacle is in front of that vehicle.  
 135 Based on the temporal and dynamic attributes of the locations,  
 136 speeds (i.e., highway), and driving directions of the vehicles in  
 137 the risk zone, IVG defines multicast groups of these vehicles.  
 138 Since IVG does not maintain neighboring cars' list at each  
 139 vehicle, the overall signaling overhead is reduced, which saves  
 140 precious bandwidth to disseminate the actual warning messages  
 141 according to a defer time algorithm. In addition, relays are  
 142 deployed dynamically in a distributed manner (in each driving  
 143 direction) that rebroadcasts the warning messages to ensure  
 144 their delivery to the vehicles in the risk area.

The broadcast storm problem, in which there is a high level of  
 contention and collisions at the MAC level due to an excessive  
 number of broadcast packets, is presented in the VANET con-  
 text in [5]. The serious nature of the broadcast storm problem  
 is illustrated in a case study of four-lane highway scenario.  
 This work proposes three lightweight broadcast techniques to  
 mitigate the broadcast storms by reducing redundant broadcasts  
 and packet loss ratio on a well-connected vehicular network.  
 This work, however, does not consider addressing the broadcast  
 storm issue at the MAC layer (i.e., the real source of the  
 problem), which may be able to mitigate the problem more  
 effectively.

To prevent accidents that may occur due to late detection of  
 distant/roadway obstacles, Gallagher *et al.* [6] emphasized the  
 need for longer range vehicular safety systems that are capable  
 of real-time emergency detection. To this end, they investigated  
 the applicability of DSRC resources to improve the efficiency  
 and reliability of vehicle safety communications. This work  
 specifically partitions crucial safety messages and the nonsafety  
 ones. The former is termed as "safety-of-life" messages, which  
 are assigned the highest priority and transmitted on a dedi-  
 cated safety channel. The underlying MAC and physical (PHY)  
 layers, guided by the higher layers, enable the awareness and  
 separation of safety and nonsafety messages.

In the survey conducted by Hartenstein and Laberteaux [7],  
 the parameters that may influence the probability of packet  
 reception in VANETs have been pointed out, including ve-  
 hicular traffic density, radio channel conditions, transmission  
 power, transmission rate, contention window sizes, and the  
 prioritization of packets. This work also mentions that for  
 packets prioritization in particular, the enhanced distributed  
 channel access (EDCA), which is also part of 802.11-2007  
 specifications, can be used. Four distinct access categories, each  
 with its own channel access queue, are provided in this scheme,  
 whereby the interframe space and the contention window size  
 can be tailored to the specific needs of the target VANET.  
 Indeed, Torrent-Moreno [8] demonstrates that, in contrast with  
 the simple carrier sense multiple access (CSMA) scheme, the  
 channel access time and probability of packets reception im-  
 prove to an extent under EDCA scheme, even in the case of a  
 saturated channel.

Sichititu and Kihl [9] survey IVC systems and focus on  
 public safety applications toward avoiding accidents and loss  
 of lives of the passengers. Their study points out that safety ap-  
 plications are inherently delay sensitive, e.g., vehicular warning  
 systems to avoid side crashes of cars and trains at crossroads,  
 deploying safety equipments such as inflating air bags and  
 tightening seat belts, and so forth. The system penetration of  
 such applications is, however, subject to determining the zone  
 of relevance as accurately as possible. For instance, when an  
 accident in the right lane of a highway occurs, it is considered  
 in the covered studies to only affect vehicles approaching the  
 accident from behind. The survey also describes the available  
 communication technologies, focusing on their PHY and MAC  
 layers, that may facilitate vehicular communications to dissem-  
 inate emergency messages. The studied protocols that are con-  
 sidered to be suited for intervehicle emergency communications  
 systems include IEEE 802.11 and its DSRC standard, Bluetooth

203 (standardized within IEEE 802.15.1), and cellular models such  
 204 as the global system for mobile communications/general packet  
 205 radio service and third-generation (3G) systems like the uni-  
 206 versal mobile telecommunications system (UMTS), the UMTS  
 207 terrestrial radio access network, and so on.

208 Toor *et al.* [10] suggest that three difficulties arise in the  
 209 PHY/MAC layer in VANETs. The first problem involves shar-  
 210 ing the radio medium to effect robust transmission among  
 211 the vehicles. The second problem consists of traffic jams or  
 212 postaccidental scenarios whereby the target VANET exhibits  
 213 a rather high density of vehicular nodes. The third and most  
 214 significant problem identified in this work is the support of  
 215 adequate emergency applications to guarantee quality of service  
 216 (QoS) in wireless environments. The study elucidates that there  
 217 exist two main approaches for sharing the medium that may  
 218 be used for vehicular communications, namely 1) the CSMA-  
 219 like random scheme and 2) the time-division multiple-access  
 220 (TDMA)-like controlled scheme. A prime example of the  
 221 former approach is IEEE 802.11, which is stated to be the  
 222 most dominant MAC protocol for developing safety applica-  
 223 tions for vehicular networks. As examples of the latter, the  
 224 study refers to a number of other technologies derived from  
 225 3G telecommunications systems based upon variations of the  
 226 pure ALOHA protocol [11] such as the slotted ALOHA [12]  
 227 and reliable reservation ALOHA (RR-ALOHA) [13] access  
 228 schemes. Recent works such as [14] have also considered QoS  
 229 issues in VANETs.

230 As stated earlier, a class of unique applications has been  
 231 devised for VANETs. For each application, different tech-  
 232 niques have been proposed. From the observation that routing  
 233 protocols originally designed for MANET networks may be  
 234 suitable only for delay-tolerant content-delivery applications  
 235 (e.g., in-vehicle Internet) [15], the work in [17] proposed a  
 236 set of context-aware broadcast-oriented forwarding protocols  
 237 for delay-sensitive safety applications in VANETs (e.g., CCA  
 238 systems). The packet-forwarding operation can be selective  
 239 and based on the geographical locations and the moving di-  
 240 rections of the source and the destination vehicles and the  
 241 packet's information content. Furthermore, mobility-oriented  
 242 schemes such as "Mobility-centric approach for Data Dissem-  
 243 ination in Vehicular networks" (MDDV) [23], which attempts  
 244 to address the data delivery problem in a partitioned and  
 245 highly mobile VANET topology, integrates the following three  
 246 data-forwarding techniques: 1) the opportunistic-based scheme;  
 247 2) the trajectory-based scheme; and 3) the geographical for-  
 248 warding scheme. The former refers to the fact that vehicle  
 249 movements create the opportunity to pass messages and de-  
 250 termine which vehicle to transmit/buffer/drop a message and  
 251 when. The trajectory forwarding implies that the information  
 252 is being propagated from the source to the destination. The  
 253 geographical forwarding, on the other hand, means that the  
 254 message is conveyed geographically closer to the destination  
 255 along the source-to-destination trajectory. Localized algorithms  
 256 specifically designed for vehicles are developed to exploit  
 257 these data-forwarding schemes. By allowing multiple vehi-  
 258 cles to actively propagate a given message, MDDV improves  
 259 message-delivery reliability. While the aforementioned packet-  
 260 forwarding protocols can reduce the number of signaling mes-

sages in a VANET, ensuring prompt delivery of critical warning  
 261 messages is also crucial for CCA systems. For this purpose, 262  
 there is a need to develop adequate MAC protocols. 263

264 Many of the MAC protocols that have evolved over the years  
 265 are, however, not applicable to VANET environments. Among 265  
 the contemporary MAC protocols, the IEEE 802.11 MAC spec- 266  
 267 ification is considered to be the leading choice among VANET  
 268 designers as a means to provide safety applications [25]. The 268  
 MAC protocol of IEEE 802.11 consists of a number of so- 269  
 270 phisticated mechanisms that rely on soft handshaking involving  
 271 a number of signaling messages (e.g., request-to-send and  
 272 clear-to-send messages) exchanged between the sender and the  
 273 receiver. These mechanisms include the following: 1) CSMA 273  
 with collision avoidance (CSMA/CA); 2) multiple access with 274  
 collision avoidance (MACA); and 3) MACA for wireless with 275  
 distributed coordinated function mode. More tailored MAC 276  
 protocols for VANET environments are also evolving, as shown 277  
 in the study conducted by Adachi *et al.* [16]. In addition, 278  
 the following two techniques have evolved into safety-critical 279  
 application domains such as CCA: 1) data prioritization [17], 280  
 [26] and 2) vehicle prioritization. We focus on the latter in 281  
 this paper whereby the emergency level associated with each 282  
 vehicle in the considered VANET is taken into account to 283  
 prioritize the vehicle. Intuitively, vehicles with high emergency 284  
 levels should be always granted prompt access to the medium. 285

286 Provisioning security for protecting the vehicular positions in  
 a VANET is also emerging as an active area of research. For ex- 287  
 ample, Yan *et al.* [28] presented a novel approach that employs 288  
 an on-board radar at each vehicle to detect neighboring vehicles 289  
 and to confirm their announced coordinates. This notion of 290  
 local security (i.e., specific to individual vehicles) is extended to 291  
 achieve global security by using the following two techniques: 292  
 1) a preset position-based groups to form a communication 293  
 network and 2) a dynamic challenging scheme to confirm the 294  
 coordinate information sent by remote vehicles. Although the 295  
 scope of our work in this paper does not cover these security 296  
 aspects, we feel the importance to incorporate such safeguards 297  
 to securely disseminate safety information/warning messages 298  
 in VANETs in the future. 299

### III. CLUSTER-BASED RISK-AWARE COOPERATIVE COLLISION-AVOIDANCE SYSTEM 300 301

302 In this section, we initially provide a brief overview of  
 the functionality of the traditional CCA system proposed by 303  
 Biswas *et al.* [17] and point out its shortcomings. We then 304  
 propose our C-RACCA system, which consists of adequate 305  
 solutions to address these issues, namely, a dynamic clustering 306  
 procedure to formulate clusters of vehicles, followed by a 307  
 uniquely designed risk-aware MAC protocol. 308

#### A. Shortcomings of the Traditional CCA Systems 309

310 In traditional CCA systems [17], upon an emergency situ-  
 ation, a vehicle in the considered platoon dispatches warning 311  
 messages to all other vehicles behind it. A recipient takes 312  
 into account the direction of the warning message arrival with 313  
 respect to its directional bearing and decides whether to pass 314

315 the message to other vehicles or not. Indeed, the message  
 316 will be ignored if it comes from behind. To ensure a platoon-  
 317 wide coverage, the message is transmitted over multiple hops.  
 318 However, this approach leads to the following two problems:  
 319 1) generation of a large number of messages, which literally  
 320 flood the VANET, and 2) generation of redundant messages  
 321 (originated from different vehicles) pertaining to the same  
 322 emergency event. Consequently, message collisions are more  
 323 likely to occur in the access medium with the increasing number  
 324 of vehicles in the platoon. In addition, this naive approach  
 325 of relaying the emergency message contributes to cumulative  
 326 communication latencies, which, in turn, lead to a substantially  
 327 high delay in delivering the warning message from the platoon  
 328 front to the vehicles located at the rear of the platoon formation.  
 329 To make matters even worse, in the case of multiple failed  
 330 message retransmissions owing to excessive MAC collisions,  
 331 this message-delivery latency increases further. To overcome  
 332 these shortcomings of the existing CCA systems, we offer a  
 333 novel approach that dynamically forms clusters of the vehicles  
 334 in a platoon.

### 335 B. Dynamic Clustering of Vehicles

336 Prior to a detailed description of the envisioned clustering  
 337 mechanism, it is essential to point out a number of assumptions  
 338 regarding the considered VANET environment, as listed in the  
 339 following.

- 340 1) To accurately estimate the current geographical location,  
 341 each vehicle in the platoon consists of global positioning  
 342 systems (GPSs) or similar tracking modules. It should  
 343 be noted that the knowledge pertaining to the real-  
 344 time coordinates of the vehicular nodes is an assump-  
 345 tion made by most protocols and applications. Indeed,  
 346 this is a reasonable enough assumption pointed out by  
 347 Boukerche *et al.* [18] because the GPS receivers can  
 348 easily be deployed on vehicles. However, as VANETs  
 349 are evolving into more critical areas and becoming more  
 350 reliant on localization systems, there may be certain  
 351 undesired problems in the availability of GPS in certain  
 352 scenarios (e.g., when the vehicles enter zones where GPS  
 353 signals may not be detected, such as inside tunnels, under-  
 354 ground parking, and so forth). Indeed, there exist several  
 355 localization techniques, such as dead reckoning [19], cel-  
 356 lular localization [21], and image/video localization [22],  
 357 that may be used in VANETs so that this GPS limitation  
 358 may be overcome. In addition, GEOCAST [20], which is  
 359 one of our earlier developed protocols, may be used so  
 360 that it is still possible to support some vehicles, which  
 361 have lost GPS signals, or do not have GPS on board, to  
 362 learn from the other vehicles and position themselves.
- 363 2) To facilitate communications, two distinct wireless chan-  
 364 nels are considered to exchange signaling messages to  
 365 formulate vehicles' clusters and to issue/forward warning  
 366 messages, respectively.
- 367 3) Each vehicle is assumed to be capable of estimating its  
 368 relative velocity with respect to neighboring vehicles. In  
 369 addition, it is also considered to be able to compute, via  
 370 adequately deployed sensors, intervehicular distances.

- 4) When a vehicle receives a warning message, it can esti- 371  
 mate the direction of the message arrival, i.e., whether the 372  
 received warning originated from a vehicle from the front 373  
 or the rear. 374
- 5) Each vehicle is considered to have knowledge on its 375  
 maximum wireless transmission range, which is denoted 376  
 by  $T_r$ . A vehicle constantly uses this parameter to update 377  
 its current transmission range  $R$  in the following manner: 378

$$R = T_r \cdot (1 - \epsilon), \quad 0 < \epsilon \leq 1 \quad (1)$$

where  $\epsilon$  refers to the wireless channel fading conditions 379  
 at the current position. Equation (1) is used for simple 380  
 estimation of the practically possible transmission range 381  
 from the given surrounding conditions that affect the 382  
 maximum transmission range of the vehicle. To compute 383  
 this, a simple parameter  $\epsilon$  is used, which reflects the 384  
 surrounding conditions. If the vehicle is currently moving 385  
 in the downtown, then its transmission range will be 386  
 lower than the maximum possible one. Because, there 387  
 will be many obstacles (e.g., high-rise buildings, indus- 388  
 tries, and other installations), which will interfere with 389  
 the vehicle's wireless signal. To reflect this situation,  $\epsilon$  in 390  
 (1) is set to a high value in a downtown scenario. On the 391  
 other hand, when a car is moving in the suburbs, there 392  
 are fewer obstacles affecting the vehicle's transmitted 393  
 signals. Therefore, in such a scenario, low values of  $\epsilon$  are 394  
 used to illustrate that the vehicle may use a transmission 395  
 range that is closer to the maximum possible one. GPS or 396  
 other positioning systems (e.g., Galileo) are used to ob- 397  
 tain the terrain information so that the appropriate values 398  
 of  $\epsilon$  in a given location can be appropriately estimated. 399

Additionally, we consider, for clustering purposes, a platoon 400  
 of vehicles, which travel along the same road toward the same 401  
 direction. Consistent with previous work in this domain [15], 402  
 the envisioned grouping of vehicles is, thus, based upon their 403  
 movement directions. Directional-antenna-based MAC proto- 404  
 cols [27] may be utilized to group the vehicles more accurately, 405  
 whereby the transmission range of vehicles is split into  $M$  406  
 transmission angles of equal degrees ( $360/M$ ). By assigning 407  
 each transmission angle to a unique vehicle group,  $M$  groups 408  
 can thus be formulated. 409

Similar in spirit with the assumptions in [15] and [27], our 410  
 approach considers, in forming a cluster, only the vehicles that 411  
 belong to the same group in terms of moving on the same road 412  
 toward the same direction. Fig. 1 portrays an example of three 413  
 such clusters. As depicted in this figure, a vehicle may act as a 414  
 special node, i.e., as a cluster head (CH) or a subcluster head 415  
 (SCH), or may merely drive as an ordinary vehicle (OV). In 416  
 case of forming a CH, the vehicles are voluntarily required to 417  
 consistently advertise for the cluster while maintaining and up- 418  
 dating their respective cluster tables. On the other hand, the first 419  
 SCH node is selected as the last vehicle that is reachable by the 420  
 CH. Indeed, the SCH node may be used to define a subsequent 421  
 SCH entity (i.e., the last vehicle reachable from this SCH node), 422  
 and so forth. SCH nodes are in charge of relaying packets (e.g., 423  
 emergency warning messages) from either a CH or from SCHs 424  
 in front to other vehicles within the same cluster that lie outside 425

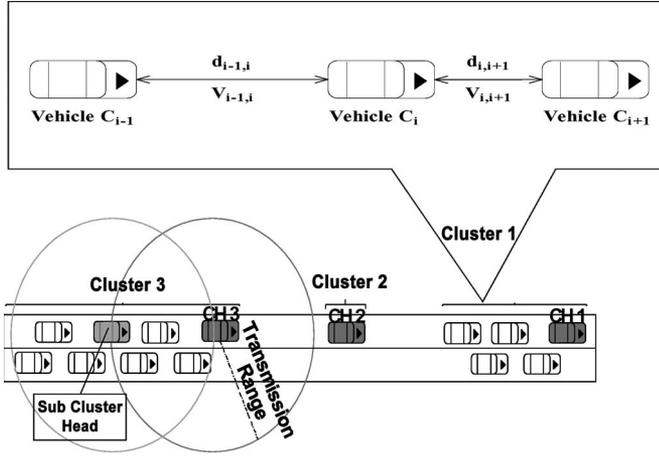


Fig. 1. Example of three clusters.

426 the CH's (or the front SCH's) transmission range. In addition, a  
 427 SCH also aggregates information from OV's within its reach and  
 428 relays them to the CHs/SCHs in front. It should be noted that  
 429 it is a rare case to have a cluster containing a large number of  
 430 SCHs. In such case, the cluster size will be significantly large,  
 431 and vehicles will be more likely moving at very low speeds.  
 432 Thus, chain collisions will not happen in such case. Finally,  
 433 OV's comprise the ordinary members in the cluster that perform  
 434 no specific task.

435 As demonstrated in the example in Fig. 1,  $C_i$  refers to the  
 436 identification (ID) of vehicle  $i$ . For simplicity, we denote  $C_{i-1}$   
 437 and  $C_{i+1}$  as the vehicles ahead of and immediately behind  $C_i$ ,  
 438 respectively. The transmission range of the former (provided  
 439 that it exists) reaches  $C_i$ . On the other hand, the latter is  
 440 reachable by  $C_i$ . The distance between a pair of vehicles  $C_j$   
 441 and  $C_k$  is denoted by  $d_{j,k}$ .  $V_j$  and  $V_{j,k}$  refer to vehicle  $C_j$ 's  
 442 actual velocity and the relative velocity with respect to vehicle  
 443  $C_k$ , respectively. Therefore, the magnitude of  $V_{j,k}$  is assumed  
 444 to be the same as that of  $V_{k,j}$ . Additional notations, which are  
 445 used in the clustering operation, are listed as follows:

- 446 1)  $\tau_j^a$ : time required for a vehicle  $C_j$  to reach vehicle  $C_{j-1}$   
 447 immediately ahead of it (i.e.,  $\tau_j^a = d_{j-1,j}/V_{j-1,j}$ );
- 448 2)  $\tau_j^b$ : time required for a vehicle  $C_j$  to be reached by vehicle  
 449  $C_{j+1}$  right behind it (i.e.,  $\tau_j^b = d_{j,j+1}/V_{j,j+1}$ );
- 450 3)  $\phi_j$ : set of CHs or SCHs in front of vehicle  $C_j$ ; this set  
 451 also belongs to  $C_j$ 's group;
- 452 4)  $\phi_j^{CH}$ : the closest CH or SCH ( $\in \phi_j$ ) in front of  
 453 vehicle  $C_j$ ;
- 454 5)  $\psi_j$ : set of CHs or SCHs behind vehicle  $C_j$ ; this set also  
 455 belongs to  $C_j$ 's group;
- 456 6)  $\psi_j^{CH}$ : the closest CH or SCH ( $\in \psi_j$ ) behind vehicle  $C_j$ ;
- 457 7)  $a_e$  and  $a_r$ : emergency deceleration and regular deceleration,  
 458 respectively, which indicate the occurrence of an  
 459 emergency event to trigger the transmission of critical  
 460 warning messages;
- 461 8)  $\delta$ : the average reaction time of individual drivers ( $0.75 \leq$   
 462  $\delta \leq 1.5$  s).

463 For each vehicle  $C_i$  and its immediately following vehicle  
 464  $C_{i+1}$ , we consider that no collision will occur between these  
 465 two vehicles, and therefore, they are safe, provided that their

distance  $d_{i,i+1}$  satisfies the following condition for  $\Gamma_{i,i+1}$  (i.e., 466  
 $\overline{\Gamma_{i,i+1}}$  denotes the negation of the condition): 467

$$\Gamma_{i,i+1} \Leftrightarrow d_{i,i+1} > \text{Min} \left( d_{max}, \alpha \cdot \left( V_{i+1} \cdot \delta + \frac{V_{i+1}^2}{2a_r} - \frac{V_i^2}{2a_e} \right) \right) \quad (2)$$

where  $\alpha$  represents a tolerance factor. In addition,  $d_{max}$  denotes 468  
 a safety distance in which if two vehicles are distant, no 469  
 collision will occur between the two vehicles, regardless of 470  
 the vehicles' velocities (e.g., in case of a maximum velocity 471  
 $V_{max} = 180$  km/h,  $d_{max} = V_{max} \cdot 1.5$  s = 75 m). It should be 472  
 noted that the direction of the vehicles is not included in (2) 473  
 since we consider the vehicles to be traveling along the same 474  
 direction in the same lane. 475

Using the above notations, for any vehicle  $C_i$ , we have the 476  
 following lemma: 477

$$\exists C_{i-1} \Leftrightarrow \phi_i \neq \emptyset \quad (3)$$

$$\exists C_{i+1} \Leftrightarrow \psi_i \neq \emptyset. \quad (4)$$

The proof of the lemma is trivial. 478

Three specific scenarios pertaining to a vehicle may exist in 479  
 the envisioned clustering operation. A vehicle may be in one of 480  
 the following three states. 481

- 1) It starts its engine and gets on a road. 482
- 2) It decides to travel in a different direction. Consequently, 483  
 it leaves its old group  $G_o$  and joins a new group of 484  
 vehicles, which is denoted by  $G_n$ . 485
- 3) It continues to travel on the same road without changing 486  
 its direction. However, it increases or decreases its travel- 487  
 ing speed. 488

In the remainder of this subsection, we describe the clus- 489  
 tering mechanism in detail by focusing on each of the above 490  
 scenarios. 491

1) *Joining a Group for the First Time*: A vehicle  $C_i$ , after it 492  
 gets on a road, initially broadcasts a CH solicitation message 493  
 to the neighboring vehicles, which are assumed to belong 494  
 to group  $G_n$ . The CH solicitation message queries the other 495  
 vehicles regarding the CH of  $G_n$ . Meanwhile,  $C_i$  also initiates 496  
 a timer  $\theta$ . The following two cases exist: 1)  $C_i$  receives no 497  
 response, or 2)  $C_i$  receives at least one affirmative response 498  
 to its initial query prior to expiration of  $\theta$ . In the former case, 499  
 where ( $\phi_i = \psi_i = \emptyset$ ),  $C_i$  decides to assume the role of CH 500  
 in  $G_n$  and starts constructing its own cluster. In the latter 501  
 case,  $C_i$  needs to take into consideration the responses from 502  
 other CH(s). At first,  $C_i$  verifies if any CH ahead of it has 503  
 also transmitted a CH advertisement message. Otherwise, if 504  
 ( $\phi_i = \emptyset$ ), from the fact that  $\psi_i \neq \emptyset$ ,  $C_i$  checks whether it 505  
 maintains long enough distance ( $d_{i,i+1}$ ) with  $C_{i+1}$ , which im- 506  
 mediately follows it from behind. This verification is required 507  
 to ascertain the safety condition ( $\Gamma_{i,i+1}$ ) described earlier. 508  
 If ( $\Gamma_{i,i+1}$ ) holds,  $C_i$  constructs its own cluster and declares 509  
 itself as the CH of this newly formed cluster. Otherwise (i.e., 510  
 if  $\overline{\Gamma_{i,i+1}}$ ),  $C_i$  takes over, from  $\psi_i^{CH}$ , the CH behind it by 511  
 designating itself as the new CH (i.e.,  $C_i = \phi_{i+1}^{CH}$ ). 512

On the other hand, if  $C_i$  obtains a CH advertisement message 513  
 from at least one CH ahead of it (i.e.,  $\phi_i \neq \emptyset$ ), it verifies whether 514

TABLE I  
ALL POSSIBLE CASES FOR A VEHICLE  $C_i$  JOINING FOR THE FIRST TIME A GIVEN GROUP

$\phi_i = \emptyset$			$\phi_i \neq \emptyset$		
$\psi_i = \emptyset$	$\psi_i \neq \emptyset$		$\Gamma_{i,i-1}$		$\Gamma_{i,i-1}$
Form own cluster	$\Gamma_{i,i+1}$	$\Gamma_{i,i+1}$	Form own cluster	$\Gamma_{i,i+1}$	Join $\phi_i^{CH}$
	Form own cluster	Take authority of $\psi_i^{CH}$		Form own cluster	

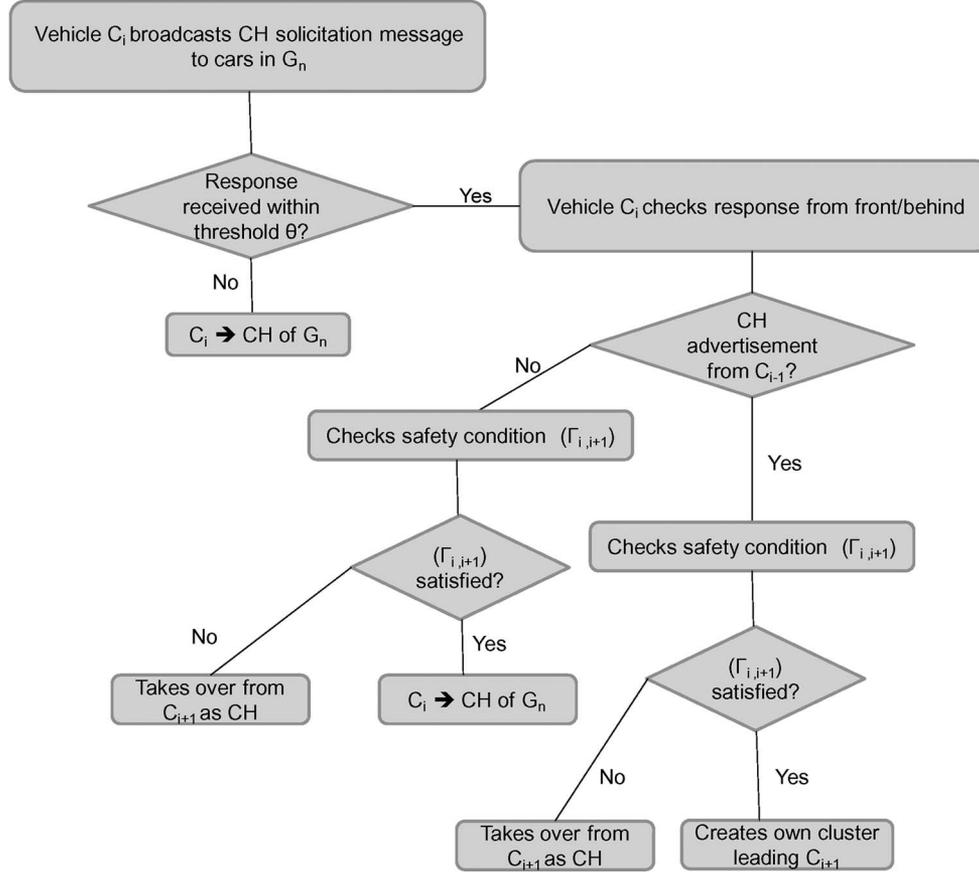


Fig. 2. Steps required for a vehicle to join a group for the first time.

515 the distance to the vehicle immediately ahead of it ( $C_{i-1}$ )  
516 and belonging to the cluster CH is sufficiently large to avoid  
517 collision with  $C_{i-1}$ .  $C_i$  constructs its own cluster by designating  
518 itself as the CH, provided that 1) the condition  $\Gamma_{i,i-1}$  holds and  
519 2) that no vehicle follows it from behind ( $\psi_i = \emptyset$ ). If ( $\psi_i \neq \emptyset$ ),  
520 the vehicle will check its distance to the vehicle right behind it  
521 and behave in a way similar to the case when ( $\phi_i = \emptyset, \psi_i \neq \emptyset$ ).  
522 On the other hand, if the condition  $\bar{\Gamma}_{i,i-1}$  persists,  $C_i$  is required  
523 to join the cluster formed by  $\phi_i^{CH}$ . Table I summarizes all the  
524 aforementioned cases. The whole process of joining a group for  
525 the first time is illustrated in Fig. 2.

526 A vehicle  $C_i$ , which desires to join a given cluster, issues a  
527 self notification (SN) message that contains the vehicle's ID,  
528 current location, and transmission range to the concerned CH.  
529 Upon receiving the SN, the CH treats it as a solicitation request  
530 from  $C_i$  to join the cluster. The CH then adds  $C_i$  into its cluster  
531 table and informs the rest of the cluster members via an updated  
532 cluster advertisement (CA) message, which contains the IDs  
533 of all the involved entities including the cluster, the CH, the

SCH(s), and the OV(s). In the case that a new vehicle emerges as  
534 a new CH in the considered cluster, the previous CH needs to  
535 transfer the most recently updated cluster table to the new CH,  
536 which, in turn, broadcasts an updated CA packet to the cluster  
537 members to inform them regarding the changes. 538

2) *Departure From a Group and Joining a New One*: As  
539 mentioned earlier, the second scenario consists of a moving  
540 vehicle  $C_i$  that changes its direction, which results in its de-  
541 parture from its old group  $G_o$  to a new group  $G_n$ .  $C_i$ , at first,  
542 informs  $G_n$  about the departure event. Upon joining  $G_n$ ,  $C_i$   
543 either forms its own cluster or joins a preexisting one following  
544 the previously described steps in Section III-A1. 545 AQ1

The departure of  $C_i$  from  $G_o$  may yield three distinct cases,  
546 namely, whether  $C_i$  was the CH, a SCH, or merely an OV in  
547  $G_o$ . These three cases are depicted in Fig. 3 and are delineated  
548 as follows. 549

- 1) *If  $C_i$  is an OV in  $G_o$* : In this case, departure operation of  
550  $C_i$  from  $G_o$  is trivial since it only requires notifying either  
551 the CH (denoted by  $CH_{G_o}$ ) directly or the corresponding  
552

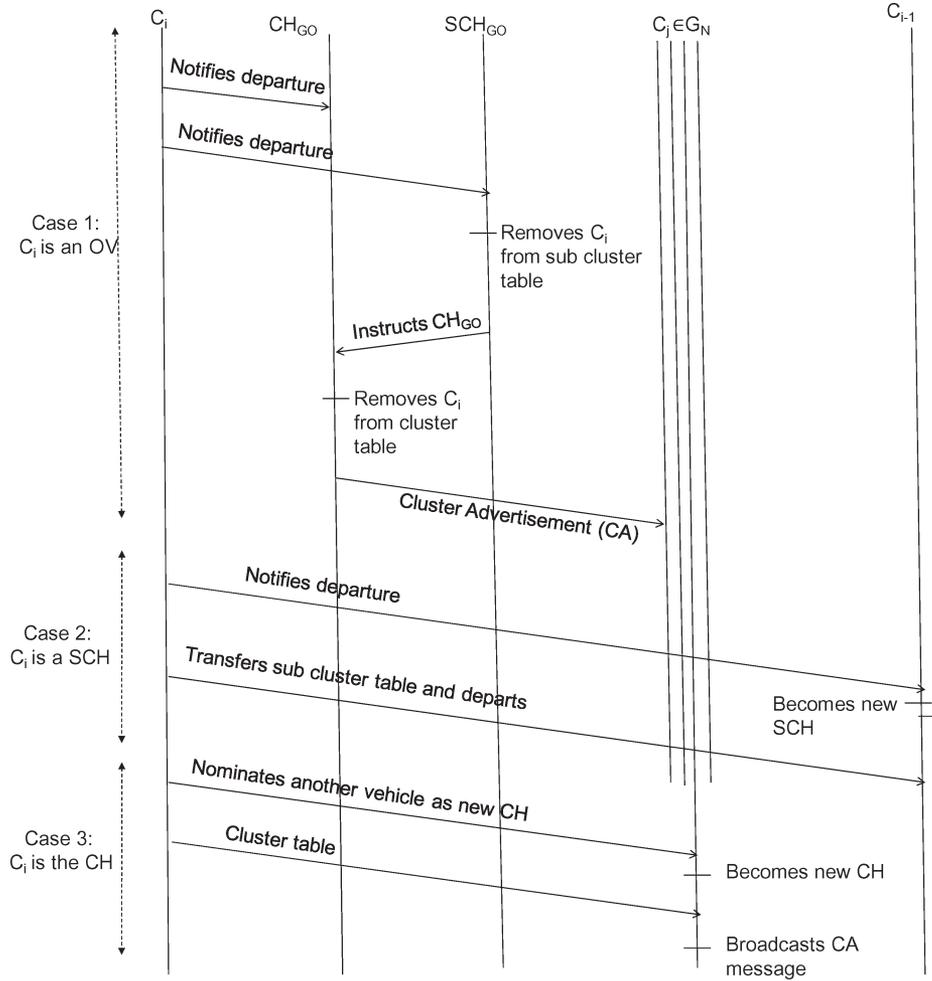


Fig. 3. Timeline diagram illustrating the departure event of a vehicle from a group.

553 SCH (i.e.,  $SCH_{G_o}$ ) similarly. In latter case,  $SCH_{G_o}$  first  
 554 removes  $C_i$  from its subcluster table and instructs  $CH_{G_o}$   
 555 about the event that prompts  $CH_{G_o}$ , in its own turn,  
 556 to delete  $C_i$ 's entry from its cluster table. Finally,  $CH_{G_o}$   
 557 issues an updated CA message to inform the rest of the  
 558 members that  $C_i$  is no longer with  $G_o$ .  
 559 2) If  $C_i$  is a SCH in  $G_o$ :  $C_i$ , in this scenario, will assign  
 560  $C_{i-1}$  in  $G_o$  to assume the responsibility of the new SCH.  
 561 In addition,  $C_i$  also transfers the subcluster table to  $C_{i-1}$   
 562 before departing  $G_o$ .  
 563 3) If  $C_i$  is a CH in  $G_o$ : This scenario requires  $C_i$  to assign  
 564 the role of the new CH to another cluster member, which  
 565 it deems most appropriate. In addition,  $C_i$  also transfers  
 566 the cluster table to the new CH prior to its departure from  
 567  $G_o$ . The new CH notifies the rest of the cluster members  
 568 regarding the change via an updated CA message.

569 Fig. 4 depicts a scenario whereby a vehicle A, with a trans-  
 570 mission range  $T_A$  and speeding at a velocity  $V_A$ , turns onto a  
 571 new street inclined by an angle  $\alpha$ , while vehicle C, which is  
 572 immediately ahead of it, and vehicle B, which is immediately  
 573 behind it, continue moving straight along the same road at ve-  
 574 locities  $V_C$  and  $V_B$ , respectively. Fig. 5 demonstrates the results  
 575 obtained from numerical analysis that there is largely suffi-  
 576 cient time for vehicle A to communicate with both vehicles C

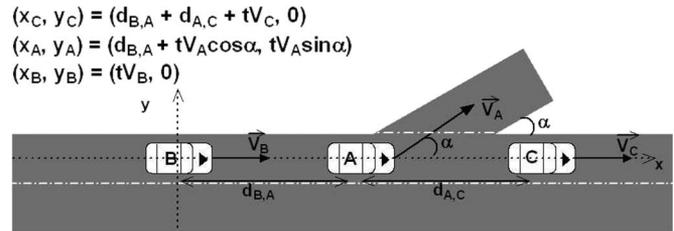


Fig. 4. Scenario showing a vehicle A turning onto a new street inclined by an angle  $\alpha$ , while vehicles B and C continue moving straight on the same road.

and B in the case of the following two different scenarios: 1) a 577  
 highway scenario where vehicles B and C speed at 120 km/h, 578  
 and vehicle A reduces its speed to 60 km/h upon turning onto 579  
 the new road and 2) an urban scenario where vehicles B and 580  
 C move at 60 km/h, and vehicle A turns at a speed equal to 581  
 30 km/h. The transmission range of vehicle A is set to 300 and 582  
 150 m in the highway and urban scenarios, respectively. Fig. 6 583  
 (derived from analytical computations) shows the time required 584  
 to join a cluster in an urban and a highway scenario for different 585  
 transmission ranges of vehicles. The figure clearly indicates that 586  
 the time required for a vehicle to join a cluster is short in both 587  
 scenarios and can be easily accommodated by the connectivity 588  
 time shown in Fig. 5. 589

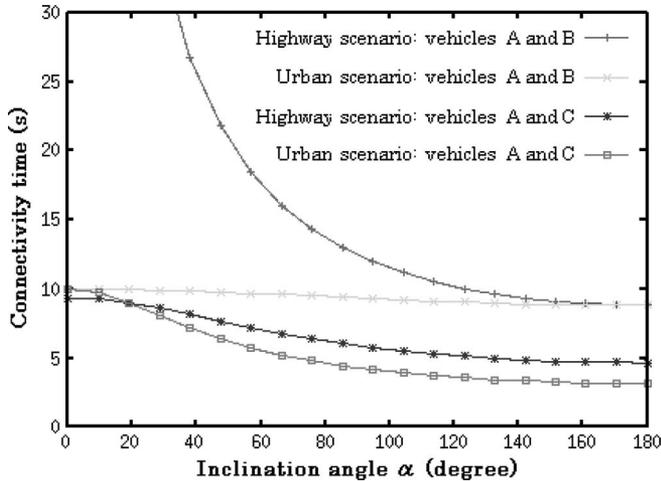


Fig. 5. Connectivity time for a vehicle, turning onto a new street inclined by an angle  $\alpha$ , with vehicles right behind it and immediately ahead of it ( $d_{B,A} = d_{A,C} = 15$  m).

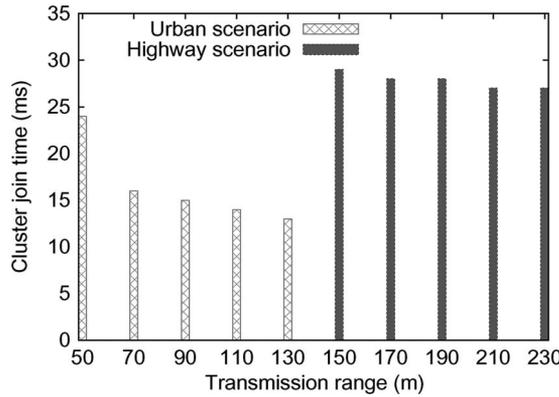


Fig. 6. Time required to join a cluster in an urban and a highway scenario, respectively.

590 3) *Intercluster Interactions Within a Particular Group*: In  
591 a given group, the envisioned approach permits flexibility in  
592 forming and interacting among clusters belonging to the same  
593 group. For instance, a cluster may be split into two parts under  
594 certain conditions. The reverse may also be possible, whereby  
595 two clusters may merge into a single new cluster.

596 A particular cluster may be divided into two different clus-  
597 ters, provided that each of the two adjacent vehicles, which are  
598 denoted by  $C_i$  and  $C_{i+1}$  (both the vehicles are members of the  
599 same cluster) continues to travel at a relative speed  $V_{i,i+1}$  until  
600 the intervehicular space  $d_{i,i+1}$  satisfies the condition  $\Gamma_{i,i+1}$ .  
601 When this condition persists,  $C_{i+1}$  becomes the CH in one  
602 part of the former clusters containing the vehicles following  
603  $C_{i+1}$  from behind. On the other hand,  $C_i$  joins another part  
604 of the previous cluster (consisting in vehicles  $C_i$  and beyond)  
605 as an OV.

606 Two existing clusters may be allowed to merge and evolve as  
607 a single one, provided that the distance between the CH of one  
608 of the two clusters (denoted by  $C_l$ ) and the last vehicle  $C_k$  in  
609 the other cluster becomes so short that the condition  $\bar{\Gamma}_{k,l}$  arises  
610 and holds. In this new cluster,  $C_l$  will handle the cluster table  
611 of the former cluster (i.e., to which  $C_k$  previously belonged).

$C_l$  then broadcasts an updated CA message to all the members  
612 to inform them regarding this change. 613

614 Conducting the aforementioned dynamic clustering opera-  
615 tions, each group of vehicles moving along the same road and in  
616 the same direction will be organized into a number of clusters of  
617 different sizes and with independent cluster heads (see Fig. 1).  
618 The distance between two adjacent clusters is always long  
619 enough to avoid collisions between vehicles from both clus-  
620 ters. On the other hand, the intervehicle distance between two  
621 adjacent vehicles in a given cluster is always shorter than the  
622 “safety distance.” Therefore, if a vehicle in a cluster detects an  
623 emergency event and applies brakes, collisions among vehicles  
624 are likely to happen if drivers do not react promptly. As stated  
625 earlier, the exchange of signaling messages for the formation of  
626 clusters is performed on a channel different than the one used to  
627 transmit warning or emergency messages. MAC collisions due  
628 to the transmission of such signals, thus, should not impact the  
629 responsiveness of our proposed C-RACCA system. 629

### C. Risk-Aware MAC Protocol

630

631 In this section, we describe the envisioned risk-aware MAC  
632 protocol. To lay the basis of this work, we consider studying  
633 the original MAC protocol in the IEEE 802.11 specifications,  
634 owing to its enormous popularity among VANET designers and  
635 researchers. For simplicity, the case of a single cluster is consid-  
636 ered, whereby the vehicles are indexed based upon their order  
637 within the cluster with respect to their movement directions.  
638 In other words, without any loss of generality,  $C_1$  refers to the  
639 cluster head,  $C_2$  refers to the car immediately behind it, and so  
640 forth. In addition, we consider highway platoons for studying  
641 the envisaged risk-aware MAC protocol due to the fact that the  
642 likelihood of chain vehicle collisions is substantially high in a  
643 highway. 643

644 The 802.11 standard currently defines a single MAC that  
645 interacts with the following three PHY layers: 1) frequency-  
646 hopping spread spectrum with a slot time  $\xi = 50 \mu\text{s}$ ; 2) direct  
647 sequence spread spectrum with a slot time equal to  $\xi = 20 \mu\text{s}$ ;  
648 and 3) infrared with a slot time equal to  $\xi = 8 \mu\text{s}$ . The general  
649 concept behind the MAC protocol in IEEE 802.11 is that  
650 when a mobile node desires to transmit, it first listens to the  
651 desired channel. If the channel is idle (no active transmitters),  
652 the node is allowed to transmit. If the medium is busy, the  
653 node will defer its transmission to a later time and then to a  
654 further contention period. To resolve contention issues among  
655 different stations that are willing to access the same medium,  
656 an exponential back-off mechanism is executed in the IEEE  
657 802.11 MAC protocol prior to the calculation of the contention  
658 period. This, however, significantly increases the data delivery  
659 latency. Consequently, in the case of delay-sensitive safety-  
660 critical CCA applications, the effectiveness of the original  
661 802.11 MAC protocol decreases substantially. Indeed, high  
662 latency in the dissemination of a warning message will lead to  
663 scenarios where some vehicles will not have enough time to  
664 react, and vehicle collisions become inevitable. To cope with  
665 this shortcoming, we envision that the IEEE 802.11 back-off  
666 procedure should be substituted by a more suitable mechanism,  
667 which takes into account, in the contention window of a given

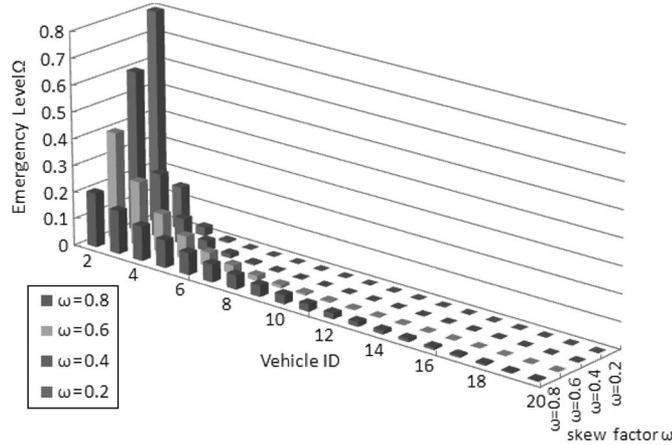


Fig. 7. Emergency level distribution of 20 vehicles for different values of the skew factor.

668 vehicle, its probability to encounter an emergency scenario. To  
669 this end, an emergency level for every vehicle (denoted by  $C_i$   
670 without any loss of generality) in a particular cluster is defined  
671 according to the distribution in

$$\Omega_i = \frac{(1-\omega)\omega^i}{\omega(1-\omega^S)}, \quad 1 \leq i \leq S \quad (5)$$

672 where  $S$  and  $\omega$  refer to the cluster size and skew factor,  
673 respectively. Fig. 7 demonstrates that setting  $\omega$  to larger values  
674 yields a uniform distribution of the emergency level of vehicles,  
675 while assigning  $\omega$  values close to zero results in a highly skewed  
676 distribution.

677 In our envisioned risk-aware MAC protocol, the contention  
678 window of a given vehicle  $C_i$  is computed based on the follow-  
679 ing equation (rather than employing the traditional exponential  
680 back-off procedure):

$$CW_i = \sum_{j=1}^k (1-\Omega_i)^j \cdot cw \cdot \xi \quad (6)$$

681 where  $k$ ,  $\xi$ , and  $cw$  denote the number of transmission attempts,  
682 the slot time of the used PHY layer, and the window size,  
683 respectively. The reason behind computing the vehicles' con-  
684 tention windows in this manner is to ascertain that the vehicles  
685 with high probability of meeting an emergency situation may  
686 enjoy short contention windows. Indeed, in case of multiple  
687 failures to transmit the warning message ( $k \gg 1$ ), the con-  
688 tention window  $CW_i$  will converge to a value equal to  $\xi/\Omega_i$ .  
689 This should ensure smaller latency (after each failed attempt)  
690 in the delivery of warning messages for vehicles with high  
691 emergency levels  $\Omega_i$ . Vehicles behind the car that detected the  
692 event will then be able to avoid collisions.

693 Equation (6) ensures the system consistency to some extent  
694 while adjusting the contention window of all the vehicles  
695 belonging to a given cluster. However, there is a further need to  
696 ascertain that the contention window is short enough so that the  
697 maximum number of imminent collisions among vehicles may  
698 be circumvented. To achieve this, the maximum delay, within  
699 which a particular vehicle needs to be informed, is computed.  
700 In the following, we consider the example of Fig. 1 and assume

that upon an emergency situation, vehicles  $C_i$  and  $C_{i+1}$  slow  
701 down their velocities at rates denoted by  $a_e$  and  $a_r$ , respectively. 702  
703 The next task is to calculate the maximum latency  $\delta_i$  since the  
704 detection of the emergency event, before which,  $C_i$  may be able  
705 to notify  $C_{i+1}$  (i.e., the vehicle following  $C_i$  from behind) of the  
706 event to avoid collision.

Vehicle  $C_i$  will be moving for a time period  $\Delta_i = (V_i/a_e)$   
707 before it eventually stops. The distances traveled by vehicles  
708  $C_i$  and  $C_{i+1}$  over  $\Delta_i$  are denoted by  $l_i$  and  $l_{i+1}$ , respectively. 709  
710 Equation (7) is used to compute  $l_i$ , and (8), shown below, is  
711 employed to derive  $l_{i+1}$  as follows:

$$l_i = \frac{V_i^2}{2 \cdot a_e} \quad (7)$$

$$l_{i+1} = V_{i+1} \cdot \frac{V_i}{a_e} - \frac{a_r}{2} \left( \frac{V_i}{a_e} - \delta_i \right)^2. \quad (8)$$

To avoid collision between  $C_i$  and  $C_{i+1}$ , the following in-  
712 equality should be satisfied by taking into consideration  $l_i$  and  
713  $l_{i+1}$ , i.e.,  
714

$$l_{i+1} > l_i + d_{i+1,i} + L_v \quad (9)$$

where  $L_v$  is the average vehicle length. This condition can  
715 be satisfied if and only if  $C_{i+1}$  is notified at maximum  $\delta_i^{\max}$   
716 time after the event-detection time (i.e., the time when  $C_i$  starts  
717 decelerating), i.e.,  
718

$$\delta_i^{\max} = \text{Max} \left( \frac{V_i}{a_e} - \sqrt{\frac{2}{a_r} \cdot \left( \frac{V_i}{a_e} (V_{i+1} - \frac{V_i}{2}) - d_{i+1,i} - L_v \right)}, 0 \right). \quad (10)$$

The collision between  $C_i$  and  $C_{i+1}$ , however, becomes un-  
719 avoidable when ( $\delta_i^{\max} = 0$ ), which compels  $C_i$  to continue  
720 broadcasting warning messages to all vehicles within its trans-  
721 mission range. This provision is required to mitigate further  
722 damage inflicted on the platoon by preventing vehicles that are  
723 far behind from colliding with one another. Consequently,  $CW_i$   
724 (i.e., the contention window for vehicle  $C_i$ ) is set as follows:  
725

$$CW_i = \begin{cases} \sum_{j=0}^k (1-\Omega_i)^j \cdot cw \cdot \xi, & \text{if } \delta_i^{\max} = 0 \\ \text{Min} \left( \sum_{j=0}^k (1-\Omega_i)^j \cdot cw \cdot \xi, \delta_i^{\max} \right), & \text{otherwise.} \end{cases} \quad (11)$$

Unless otherwise specified, we set  $a_e$ ,  $a_r$ , and  $L_v$  to 8 m/s<sup>2</sup>,  
726 4.9 m/s<sup>2</sup>, and 4 m, respectively. It should be noted that the  
727 values of  $a_e$  and  $a_r$  can be used by the system as an indication  
728 for an emergency event (e.g.,  $a_e$  for cluster head,  $a_r$  or above for  
729 other cluster members) to trigger the transmission of warning  
730 messages.  
731

On detecting an emergency event, a vehicle issues a warning  
732 message to every member of its cluster (including SCHs) that  
733 its transmission range currently covers. An SCH entity forwards  
734 this message to each of its subcluster members. It should be  
735 noted that a vehicle can safely discard messages originating  
736 from vehicles following it from the back. Otherwise (i.e., if the  
737 warning message arrives from the front), the recipient vehicle,  
738 at once, reacts to it based on the event type included in the  
739

740 warning message. If the recipient vehicle encounters redundant  
741 warning messages, it takes action based on the first one only  
742 and discards the rest of the duplicate copies.

#### 743 IV. PERFORMANCE EVALUATION

##### 744 A. Collision Model

745 Before delving into details of the considered collision model  
746 in our simulation, we list a number of important parameters. Let  
747  $S$  and  $L_v$  denote the size of the considered cluster (where the  
748 collisions are simulated) and the average vehicle length, respec-  
749 tively. As mentioned earlier, we are more keen on focusing on  
750 highway platoon scenarios, whereby the likelihood of collisions  
751 among the cluster members is much higher in contrast with ur-  
752 ban scenarios. In our simulated highway platoon environment,  
753 we consider the most frequent scenario, whereby the CH (i.e.,  
754 the vehicle in front of the platoon) identifies an emergency  
755 event. When the CH detects an emergency situation at time  $t_0$ ,  
756 it slows down at an emergency deceleration  $a_e$ . The rest of the  
757 vehicles are considered to slow down at a regular deceleration  
758  $a_r$ . For the sake of simplicity and without any loss of generality,  
759 we further assume that when a vehicle  $C_i$  collides with a vehicle  
760  $C_{i-1}$  ahead of it,  $C_i$  immediately stops. On the other hand,  
761  $C_{i-1}$  keeps on traveling without deceleration. Although this  
762 particular assumption does not conform to realistic scenarios,  
763 it does not change any of the rudimentary observations made so  
764 far on the envisioned C-RACCA framework.

765 Let  $\Delta t_i$  represent the latency since the detection of the  
766 emergency event until vehicle  $C_i$  stops or collides with its  
767 preceding vehicle  $C_{i-1}$ . The velocities of  $C_i$  at the time of  
768 the event detection and after  $\Delta t_i$  time are denoted by  $V_i^o$  and  
769  $V_i^s$ , respectively. The delay incurred in delivering the warning  
770 message to  $C_i$  is referred to as  $\delta_i$ . It is worth noting that all  
771 vehicles in the cluster (or subcluster) ought to experience sim-  
772 ilar  $\delta_i$ , provided that the broadcast of warning messages by the  
773 CH/SCHs and their deliveries at the recipients are successful.  
774 As previously evaluated in (7),  $l_i$  defines the distance traveled  
775 by  $C_i$  since the event detection time until the vehicle completely  
776 stops or collides with  $C_{i-1}$ . The following equations pertain to  
777 the CH, i.e.,  $C_1$ :

$$\Delta t_1 = \frac{V_1^o}{a_e} \quad (12)$$

$$l_1 = V_1^o \Delta t_1 - \frac{1}{2} a_e \cdot \Delta t_1^2 \quad (13)$$

$$V_1^s = 0. \quad (14)$$

778 For other vehicles, except for the considered CH (i.e.,  $C_i$ ,  
779  $1 < i \leq S$ ), the conditions for two adjacent vehicles  $C_i$  and  
780  $C_{i-1}$  not to collide can be obtained in terms of the following  
781 equations:

$$\Delta t_i = \frac{V_i^o}{a_r} + \delta_i \quad (15)$$

$$l_i = V_i^o \Delta t_i - \frac{1}{2} a_r \cdot (\Delta t_i - \delta_i)^2 \quad (16)$$

$$V_i^s = 0. \quad (17)$$

TABLE II  
SIMULATION PARAMETERS

Factor	Range of values
Propagation model	TwoRayGround
Cluster size, $S$	20
Vehicle speed, $V_i$	15 - 45 m/s
Inter-vehicle distance, $d_{i,i+1}$	10 - 30 m
Emergency deceleration, $a_e$	8 m/s <sup>2</sup>
Regular deceleration, $a_r$	4.9 m/s <sup>2</sup>
Driver's reaction time, $\delta$	0.75 - 1.5 s
Transmission range, $T_r$	150 m
Skew factor, $\omega$	0.8
Average vehicle length, $L_v$	4 m
Slot time, $\xi$	50 $\mu$ s
Tolerance factor, $\alpha$	3

On the other hand, in the case that  $C_i$  and  $C_{i-1}$  collide, the  
following two distinct cases may be envisaged.

Case 1)  $C_i$  collides while  $C_{i-1}$  is still moving.

Case 2)  $C_{i-1}$  stops, and then,  $C_i$  hits  $C_{i-1}$ .

The following inequality should hold in case 2):

$$l_{i-1} + d_{i,i-1} + L_v \leq l_i. \quad (18)$$

In that time,  $\Delta t_i$ ,  $l_i$ , and  $V_i^s$  will be computed as follows:

$$\Delta t_i = \Delta t_{i-1} \quad (19)$$

$$l_i = l_{i-1} + d_{i,i-1} + L_v \quad (20)$$

$$V_i^s = V_i^o - a_r \cdot (\Delta t_{i-1} - \delta_i). \quad (21)$$

For case 1, a time instant  $t_m$  should exist when

$$\begin{aligned} \exists t_m \quad & V_i^o(t_m - t_0) - \frac{1}{2} a_r \cdot (t_m - t_0 - \delta_i)^2 \\ & = V_{i-1}^o(t_m - t_0) - \frac{1}{2} \eta \cdot (t_m - t_0 - \delta_{i-1})^2 + L_v \end{aligned} \quad (22)$$

where ( $\eta = a_e$ ) in the case of  $i = 2$ , or ( $\eta = a_r$ ) for ( $3 \leq i \leq S$ ). During that time, the values of  $\Delta t_i$ ,  $l_i$ , and  $V_i^s$  are computed as follows:

$$\Delta t_i = t_m - t_0 \quad (23)$$

$$l_i = V_i^o(t_m - t_0) - \frac{1}{2} a_e \cdot (t_m - t_0 - \delta_i)^2 \quad (24)$$

$$V_i^s = V_i^o - a_r \cdot (t_m - t_0 - \delta_i). \quad (25)$$

##### B. Simulation Results

The simulations are conducted using the network simulator (NS-2) [29] based on the collision model delineated in Section IV-A. The simulation parameters are listed in Table II. The transmission ranges of the vehicles and the minimum intervehicular distance are set to 150 and 10 m, respectively. The reason behind these choices is to have at least one SCH in a simulated cluster. As comparison terms, we adopt 1) a CCA system, which is based upon the IEEE MAC protocol that uses the exponential back-off algorithm for calculating contention windows of the vehicles [17] and 2) the absence of a CCA system, whereby the traditional reaction of drivers is considered to be the key factor in avoiding collisions.

We simulate two scenarios. In the first scenario, all vehicles move at a steady speed, and the intervehicle distance is chosen

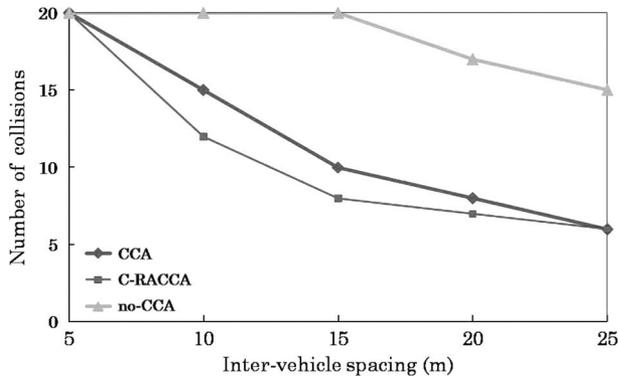


Fig. 8. Number of collided vehicles for different intervehicle distances (scenario 1, vehicle speed = 32 m/s).

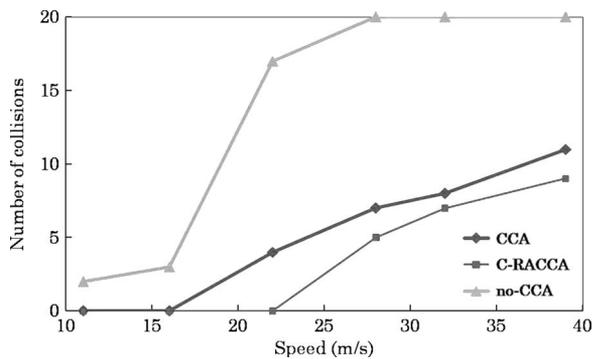


Fig. 9. Number of collided vehicles for different velocities of the cluster head (scenario 2).

807 from within the interval [10 m, 30 m]. On the other hand, in the 808 second scenario, the intervehicle distance is arbitrarily selected 809 from within the range [10 m, 30 m] for each pair of collocated 810 vehicles. Each vehicle travels at varying speeds. The CH, which 811 travels at the front of the cluster, moves at a speed that is 812 selected from an interval [22 m/s, 42 m/s]. The velocities of 813 the rest of the cars are carefully chosen not to cause collisions 814 among them. An emergency situation is simulated by having 815 the CH collide with a fixed object that compels the CH to slow 816 down rapidly. Consequently, a number of warning messages are 817 broadcast. The simulation results that we provide here are an 818 average of multiple simulation runs.

819 The number of collisions for various intervehicle distances 820 in the case of the proposed C-RACCA, CCA, and no-CCA 821 systems are plotted in Fig. 8. It can be deduced from this 822 figure that the number of collisions decreases as the intervehicle 823 distance increases significantly. The results demonstrate that the 824 C-RACCA scheme helps save many vehicles from colliding 825 into others. Fig. 9 exhibits a similar performance in the case 826 of scenario 2. As shown in this figure, the reduced number of 827 vehicle collisions achieved by the C-RACCA approach, even 828 when the CH travels at a reasonably high speed, in contrast 829 with CCA and no-CCA systems, is attributable to its ability to 830 swiftly inform the cluster members regarding the emergency 831 situation. Fig. 10 sheds more light on this issue by indicating 832 the fact that vehicles experience significantly high delays in 833 delivering/receiving the warning messages in case of the tra- 834 ditional CCA system. It is worth stressing that these latencies

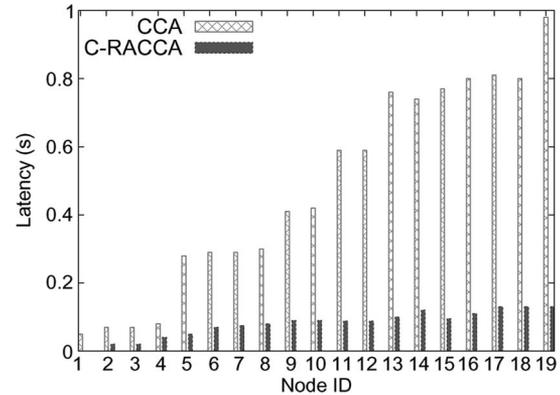


Fig. 10. Warning message delivery latency  $\delta_i$  for each vehicle  $C_i$  (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

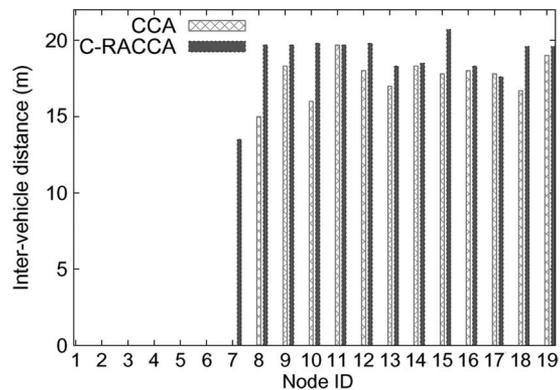


Fig. 11. Relative intervehicle distance  $d_{i,i-1}$  after stop (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

also include the delay in receiving the first warning message. 835 Indeed, in the proposed system, not all vehicles reforward the 836 warning message. In fact, only the CH and SCHs do so. Fig. 10 837 also demonstrates that in the case of the CCA system, the ten 838 last vehicles at the rear of the cluster experience a relatively 839 longer time to disseminate the warning messages. The reason 840 behind this is the occurrence of multiple MAC collisions owing 841 to the concurrent delivery of warning messages by the first 842 ten cars. On the contrary, the envisioned C-RACCA system 843 ascertains that only the vehicle which encountered the emer- 844 gency situation (e.g., the CH in our simulation scenarios) and/or 845 SCHs are in charge of delivering the warning messages. This 846 provision assists C-RACCA in avoiding message collisions. 847 Consequently, a large number of vehicles receive the warning 848 message in a relatively short latency. Indeed, this enables 849 the vehicles to respond to the emergency situation in a swift 850 manner. 851

The superior performance of the proposed C-RACCA 852 scheme is further evident from Figs. 11 and 12. Fig. 11 exhibits 853 that the relative intervehicle distances (after the vehicles have 854 stopped) are longer in the case of the proposed C-RACCA 855 scheme compared with the other naive approaches. It should be 856 noted that in most cases, a significantly long relative distance 857 between two adjacent vehicles  $C_i$  and  $C_{i+1}$  suggests that  $C_{i+1}$  858 responded rapidly to the emergency situation to achieve a 859 sufficiently long distance from the vehicle ahead, i.e.,  $C_i$ . This 860 distance is of high importance in our evaluation due to the 861

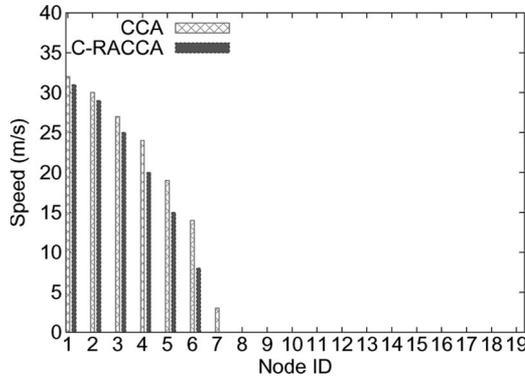


Fig. 12. Relative speed  $V_{i,i-1}$  at the time of collision. In the absence of collision,  $V_{i,i-1} = 0$  (scenario 1, intervehicle distance = 15 m, vehicle speed = 32 m/s).

862 fact that  $C_i$  may explode at the time of collision (e.g., due to  
863 fuel leakage and so forth). Additionally, Fig. 12 demonstrates  
864 another important feature of the C-RACCA system in terms of  
865 the smaller magnitude of the relative velocity of each vehicle at  
866 the time of collision. This mitigates the severity and impact of  
867 any collision.

868

## V. CONCLUSION

869 In this paper, we have proposed an effective collision-  
870 avoidance strategy for vehicular networks that we refer to as  
871 the C-RACCA system. As it can be inferred from its name,  
872 the C-RACCA forms clusters of vehicles that belong to the  
873 same group. A number of features pertaining to the movements  
874 of the vehicles are taken into account to construct effective  
875 clusters. We envisioned a set of mechanisms to enable vehicles  
876 to join or depart from a specific cluster. Indeed, the clustering  
877 mechanisms lead to various heterogeneous clusters, i.e., multi-  
878 ple clusters with different sizes, independent cluster heads, and  
879 different numbers of subcluster heads.

880 The other contribution of the C-RACCA system lies in  
881 the fact that it enhances existing MAC protocols to ascertain  
882 relatively short latencies in disseminating warning messages  
883 after an emergency situation is detected. For each vehicle, an  
884 emergency level is defined based upon its order in the cluster  
885 with respect to the moving direction of the cluster. In the  
886 C-RACCA system, the warning message latency is calculated  
887 in such a manner that it is inversely proportional to the emer-  
888 gency level of the considered vehicle. This reflects the probabil-  
889 ity of the vehicle to encounter an emergency event in the cluster.  
890 The second rationale lies in the fact that the latency estimation  
891 takes into consideration the velocities and intervehicle distances  
892 of adjacent vehicles and, thereby, manages to avoid colliding  
893 with each other.

894 Various simulations have been conducted in two unique sce-  
895 narios to verify and compare the performance of the proposed  
896 C-RACCA system with those of the naive CCA and no-CCA  
897 approaches. The simulation results clearly exhibit the applica-  
898 bility of the C-RACCA approach in VANET environments  
899 since it reduces both the number of collisions and the impacts  
900 of collisions when they inevitably occur.

901 Admittedly, our work has considered a distribution with a  
902 predetermined skew factor (i.e.,  $\omega$ ) to estimate the emergency

903 levels of the vehicles that are used to compute the warning mes-  
904 sage delivery latency. However, in the future, further investiga-  
905 tion regarding any possible correlation between the skew factor  
906 and the attributes of a specific cluster (in terms of its average  
907 intervehicle distance, average velocity, size, and so forth) is  
908 required. The relationship between the transmission ranges of  
909 the vehicles in a given cluster and the size of that cluster also  
910 needs further investigation. In addition, the impact of chan-  
911 nel conditions on the delivery of warning messages and their  
912 overall impact on the C-RACCA's performance also deserve  
913 further studies. Furthermore, the management of intercluster  
914 communications may also open up interesting research scopes.  
915 These form some of our future research into this particular area  
916 of research.

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NOTE: "IEEE Commun. Magazine, Vol. 44, No. 1, pp. 535-547, Jan. 2006" was deleted in Ref. [23]. Please check if OK.

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